





National Highway Traffic Safety Administration Import and Certification Division Phone: 202-366-5291 Fax: 202-493-0073

> Email: Importcertification@dot.gov Website: nhtsa.gov/importing

## Part 592.6(I) Notification of Business Change

Section I. Registered Importer Information					
Name		Date of Birth			
Position					
Business Name					
Business Address					
City	State/Province		Country	ZIP Code	
Phone Number		Fax N	ax Number		
Email Address					
Form of Business Organization		State in which business formed			
Section II. Identify Each Par	tner/Officer/Director/Ma	nage	r of RI's Partnership or Corpo	oration 592.5(a)	
(Attach a separa	te page if there are more thar	three	partners/officers/directors/manage	ers.)	
Name				Date of Birth	
Position				% Owned	
Business Address					
City	State/Province		Country	ZIP Code	

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- continued	rtner/Officer/Director	/Manager of RI's Partnersh	ip or Corporation 592.5(a)			
Name			Date of Birth			
Position			% Owned			
Business Address			L			
City	State/Province	State/Province Country				
Name	l	I	Date of Birth			
Position			% Owned			
Business Address						
City	State/Province	Country	ZIP Code			
Section III. Business Intere	sts					
I am willing to contract with in types of vehicles those owner pursuant to 49 CFR Part 593 (	rs import, provided the		er standards of the following ed to be eligible for importation			
<u> </u>		Trucks (≤10,000 lbs GVWR)	☐ Trailers ☐ Buses			
☐ Heavy Trucks & Tractors (>1	0,000 lbs GVWR)	Motorcycles / Motor-Driven Cyc	cles			
I am willing to modify vehicles imported from:   Canada   All Other Countries						
Section IV. Petition for an I	mport Eligibility Deci	sion				
Yes No I am willing to prepare and submit import eligibility petitions to NHTSA and to pay all required fees for those petitions, as set forth in 49 CFR Parts 593 and 594.						
Section V. Registered Impo	orter Premises					
	Ma	ain Office				
Business Address						
City	State/Province	Country	ZIP Code			
Phone Number	•	Fax Number	1			
Date Facility First Used		Date Facility Closed	Date Facility Closed			

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Section V. Registered Importer Premises – continued				
Records Storage				
☐ Same as Main Office				
Business Address				
City	State/Province		Country	ZIP Code
·			•	
Phone Number		Fax Number		
Date Facility First Used		Date	Facility Closed	
	Conformance	Modif	ications	
☐ Same as Main Office				
Business Address				
City	State/Province		Country	ZIP Code
Phone Number		Fax Number		
Date Facility First Used		Date Facility Closed		
Vehicle Storage				
☐ Same as Main Office				
Business Address				
City	State/Province		Country	ZIP Code
Phone Number		Fax Number		
Date Facility First Used		Date Facility Closed		

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Section VI. Principals of the Certifications to NHTSA	App	olicant Whom the	Applic	ant Authorizes to S	Submit Conformity		
Principal Name			Title				
Principal Name			Title				
Principal Name			Title	Title			
Principal Name			Title	Title			
*Principal, with respect to a Registered Improprietorship. The term includes a directo is 10% or more.	porter, or of an	, means any officer of a co n incorporated Registered	orporation Importer,	i, a general partner of a par and any person whose ow	tnership, or the sole proprietor c nership interest in a Registered	of a sole Importer	
Section VII. List of Employee	es						
(Att	ach a	a separate page if the	re are m	ore than four employe	es.)		
Name			Title	Title			
Name			Title	Title			
Name		Title					
Name			Title	Title			
*Example: Office Staff - reception, account			the applic	cant or its employees.			
· · · · · · · · · · · · · · · · · · ·							
Section VIII. Customs Broke	r						
Broker Business Name							
Business Address							
City	Stat	e/Province		Country	ZIP Code		
Filer Code (Custom Broker Filer Cod	le)	Contact Info		1	<u> </u>		
Phone Number			Fax Number				

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Section IX. Surety That Issues DOT Conformance				
Surety Business Name				
Business Address				
City	State/Province		Country	ZIP Code
Surety Code (CBP Assigned Surety	Code) Contact Info			
Phone Number		Fax I	Number	
Section X. Mandatory Service	ce Insurance Policy Pro	vider		
Business Name				
Business Address				
City	State/Province		Country	ZIP Code
Contact Info				
Phone Number		Fax Number		
Section XI. Associated with Canadian Certified Vehicles		dent	Commercial Importer (ICI) fo	r Importing Non
ICI Name				
Business Address				
City	State/Province		Country	ZIP Code
Contact Info				1
Phone Number		Fax I	Number	

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Section XII. Certification, Acknowledgement & Disclosure					
	(§ 592.5(f)(2)(i)) By checking this box, I hereby certify that I have read and understand the duties of a Registered Importer, as set forth in 49 CFR 592.6 and that I will fully comply with each such duty.				
	(§ 592.5(f)(2)(ii)) By checking this box, I hereby certify that all the information provided in each of my previous annual statements or changed in any notification that I may have provided to NHTSA in compliance with § 592.6(I), remains correct and that all the information provided in this annual statement is true and correct.				
	(§ 592.5(f)(2)(iii)) By checking this box, I hereby certify that I understand that, in the event that the registration is suspended or revoked or lapses, I will remain obligated to notify owners and to remedy noncompliances or safety-related defects, as required by 49 CFR 592.6(j), for each vehicle for which I have furnished a certificate of conformity to NHTSA.				
	(§ 592.5(e)(l)(iii)) By checking this box, I acknowledge that the Registered Importer, or any person associated with direct or indirect ownership or control of the Registered Importer's business, or any person employed by or associated with the Registered Importer, has not been convicted of a crime related to the importation, purchase, or sale of motor vehicles or motor vehicle equipment. These offenses include, but are not limited to title fraud, odometer fraud, or the sale of stolen vehicles.				
Sec	tion XIII. Documentation	Preparation & Enclosu	res		
(§ 5	92.5(a)(3)) Identity of perso	n preparing this statemen	t (if di	fferent from RI)	
Name Title					
Business Address					
City State/Province		State/Province		Country	ZIP Code
I have attached to this application enclosures identified by the form entitled "Notification of Business Change Checklist" as set forth in 49 CFR § 592.5(f).					
Applicant's Signature Date			Date		
(Signature must be acknowledged by a notary (§ 592.5(A)(12))					
Nota	Notary's Signature Date			Date	

Please complete the form by typing or clearly printing. If you have questions about the form, email <a href="mailto:lmportcertification@dot.gov">lmportcertification@dot.gov</a> or call 202-366-5291.

Mail the completed form with an original signature to:

Attn: Business Change Notification
Director, Office of Vehicle Safety Compliance
1200 New Jersey Avenue SE
West Building, Room W45-205, Mail Code NEF-230
Washington, DC 20590

## **Additional Information**

Note: Each RI that is granted its RI registration must keep its business information on file with NHTSA current, accurate and complete by submitting revised information generally not later than 30 calendar days after the relevant business changes occur. If a RI intends to change its street address or phone number or discontinue use of a facility that was identified in its registration application, it must notify NHTSA not less than 10 days before such change and 30 days before annual renewal or discontinuance of such use, and identify the facility, if any, that will be used instead.

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Section XIV. Notification of Business Change Checklist					
V	N/A	No.	Enclosures with the following material or information should accompany the Notification of Business Change		
		1	A narrated digital DVD video that shows the facilities the applicant proposes to use to conduct its business as an RI. This must be formatted to play in Windows Media Player® or in QuickTime®. Suitable video formats include MPEG® and AVI. The recording must include footage of the office space and office equipment the applicant will use in its RI business, including file cabinets or other devices that will be used to store the records an RI must maintain. The recording must also show the area outside the building as having secure vehicle storage space and the premises inside the building that will be used for performing conformance modifications on imported nonconforming vehicles. (§ 592.5(a)(9)(ii))		
		2	If the applicant is a non-public corporation, the applicant must provide a statement issued by the Office of the Secretary of State, or other responsible official of the State in which the applicant is incorporated, certifying that the applicant is a corporation in good standing. The application also must include the full name, street address, and date of birth of each officer, director, manager, and person who is authorized to sign documents on behalf of the corporation and the name of any person who owns or controls 10% or more of the corporation. (§ 592.5(a)(4)(iii))		
		3	If the applicant is a public corporation, the applicant must include a copy of its latest 10-K filing with the Securities and Exchange Commission, and provide the name and address of any person who is authorized to sign documents on behalf of the corporation. (§ 592.5(a)(4)(iv))		
		4	If the applicant is a corporation not organized under the laws of a State of the United States, or is a sole proprietorship or partnership located outside the United States, the application must be accompanied by the applicant's designation of a permanent resident of the U.S. as the applicant's agent for service of process in the form specified by 49 CFR § 551.45. (§ 592.5(a)(5)(v))		
		5	A copy of the Safety Recall Service Contract the applicant has entered with an independent insurance company, with notarized signatures, to cover the obligations the applicant will incur as an RI with respect to conducting safety recall campaigns. (§ 592.5(a) (8))		
		6	A copy of the current business license issued to the applicant to do business as an importer or modifier or seller of motor vehicles or a statement that the applicant has made a bona fide inquiry and is not required by State or local authority to have such a license or document. (§ 592.5(a)(5)(iii))		
		7	Information sufficient to establish that the applicant is technically able to modify any nonconforming motor vehicle to all applicable Federal motor vehicle safety and bumper standards. This information should include, but not be limited to, the professional qualifications of the applicant and its employees at the time of the application (such as whether any such persons have been licensed as mechanics), and a description of their experience in conforming and repairing vehicles. (§ 592.5(a)(9)(i))		
		8	Information sufficient to establish that the applicant is able to acquire and maintain information regarding the vehicles that it imports and the names and addresses of the owners of the vehicles that it imports or for which it furnishes certificates of conformity to NHTSA in order to notify such owners when a noncompliance or a defect related to motor vehicle safety has been determined to exist in such vehicles. (§ 592.5(a)(9)(iv))		

PAPER REDUCTION ACT STATEMENT: A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a current valid OMB Control Number. The OMB Control Number for this information collection is 2127-0002. The information collected on this form is necessary to obtain registration with NHTSA as an importer of motor vehicles that are not originally manufactured to comply with all applicable Federal Motor Vehicle Safety Standards. The information is used to verify the applicant's eligibility to obtain such registration. We estimate that it will take approximately 10 hours to compile and assemble the information needed to complete the application. The information collected is necessary to obtain a benefit under 49 U.S.C § 30141 (c) and 49 CFR 592.5(a). Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, National Highway Traffic Safety Administration, 1200 New Jersey Avenue SE, Room W45-205, Washington, DC 20590.

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