# Image: Constraint of the second state of the second sta

# Manufacturer Training Safety Defect Investigations (SDI) Portal

Office of Defects Investigation (ODI) August 1, 2024: 1:00 PM to 3:30 PM EDT August 6, 2024: 9:00 AM to 11:30 AM EDT



# Agenda

- Investigation Resume and Information Request (IR) Process Flow
- SDI Portal Layout
- Investigation Opened and Resume Sent to Manufacturer (Mfr)
- Manufacturer Review of Resume in SDI Portal
- Information Request (IR) Sent to Mfr
- Manufacturer Review of IR in SDI Portal
- IR Response Extension Request
- Manufacturer Review of IR Extension Request Reply in SDI
- IR Response Submission to ODI
- ODI's Review of Manufacturer's IR Response Submission
- Submitting Amend Replacement Files in Response to Rejected Files
- ODI's Acceptance of IR Response
- Publishing ODI Investigation Files
- Next Steps

Note: Training will be in a demo only format.

# Investigation Resume and IR Process Flow



<u>Key</u> ODI Task

Mfr Task



### SDI Investigation Dashboard and Details Pages Overview

Office of Defects Investigation August 1, 2024: 1:00 PM to 3:30 PM EDT August 6, 2024: 9:00 AM to 11:30 AM EDT



# SDI Portal Layout: Investigation Dashboard Page (1 of 4)

#### **Investigation Dashboard Page - Header and Investigations Table**

Header								
			Safety D	Safety Defect Investigations			John Doej Logout 🕞	
Investi	Investigation Dashboard (2 Open)							
Home > Inve	estigations						MC Acme, Inc ∽	
O Last 60	days 🔘 Last yea	ar 🔿 Last 5 years 🔿 All						
Investigatio	ons Table							
Year	NHTSA ID	Subject	Open Resume	Close Resume	Open Requests	Parent Investigation	Investigator	
2024	PE24201	Transmission Failure	2024-May-17		0		JANE SMITH	
	TQ24243	V-ICKLE Truck	2024-Apr-15		2		JANE SMITH	



# SDI Portal Layout: Investigation Dashboard Page (2 of 4)



#	Element	Description
1	Page Title	Displays the Investigation Dashboard page name and the number of open and closed Investigations in parenthesis
2	Search	Enables the user to enter a keyword or NHTSA ID to limit the file results in the dashboard <b>Investigations</b> table.
3	Breadcrumbs	<ul> <li>Displays hyperlinked Breadcrumbs feature, Home &gt; Investigations</li> <li>Enables the user to navigate back to a previous page, such as the Home page simply by clicking on the hyperlink.</li> </ul>
4	Manufacturer	<ul> <li>Displays the name of the manufacturer whose Investigations are shown in the table below.</li> <li>NOTE: To change between manufacturers, click the current manufacturer name to display the manufacturers drop-down list for which you have access and then the manufacturer name you would like to view.</li> </ul>
5	Filter	<ul> <li>Enables the user to click a radio button to select a time frame (Last 60 days, Last year, Last 5 years or All) for which Investigations you want to display.</li> <li>NOTE: By default, the Last year view will display.</li> </ul>



# SDI Portal Layout: Investigation Dashboard Page (3 of $\overline{4}$ )



#	Field Name	Description
1	Year	Displays the year the Investigation was created.
2	NHTSA ID	<ul> <li>Displays the ID assigned to the Investigation by NHTSA</li> <li>Enables users to click on the hyperlinked field to access the Investigation Detail page.</li> </ul>
3	Subject	Displays a brief description of the Investigation entered by NHTSA.
4	Open Resume	Displays the date the Open Resume was approved.
5	Close Resume	Displays the date the Close Resume was approved.
6	Open Requests	<ul> <li>Displays a count of how many IRs are associated with the Investigation</li> <li>Where applicable, displays an icon indicating if an IR is overdue or approaching the extension cutoff date.</li> <li>NOTE: There can be multiple icons within this field (i.e., both an overdue and approaching extension cutoff date icon), but there will only be 1 of each icon (i.e., if more than 1 IR is overdue, there will only be one overdue icon).</li> </ul>
7	Parent Investigation	<ul> <li>Displays the NHTSA parent Investigation ID, where applicable</li> <li>Enables users to click on hyperlinked field to access the parent Investigation details.</li> </ul>
8	Investigator	Displays the name of the investigator assigned to the Investigation.



# SDI Portal Layout: Investigation Dashboard Page (4 of 4)

Investigations Dashboard – Open Requests Icons

lcon	Description	Condition
	Overdue IR Response	The <b>Overdue IR Response</b> icon indicates <b>Entire Response</b> is not marked as complete and the current date is past the <b>Original Due Date</b> or <b>Extension Date</b> .
ि	Extension Cutoff Warning	The <b>Extension Cutoff Warning</b> icon indicates <b>Entire</b> <b>Response</b> is not marked as complete and it is 6 – 7 days before the <b>Original Due Date</b> of the IR.



# SDI Portal Layout: Investigation Detail Page (1 of 7)

		Safety	Safety Defect Investigations						
Investigation Detail									
Overview Section	<u>Home</u> > <u>Inves</u>	tigations > PE24201							
	PE24201							Investigator: Jane Smith	
	Subject:	Transmission Failure						Division Chief: Sally May	
	Products:	2005 V-ICKLE Trucks							
	Description:	There have been rep	oorted transmission failurers for th	ne 2005 V-ICKLE Trucks	5.				
Investigation Docs	Investigation Documents:	INOA-PE24201-1434	10.pdf 生   INOV-PE24201-1434	Lpdf 生					
IR Ext Memos	IR Extension Memos:	PE24201-01 INRE-	-PE24201-10300.pdf 👤						
Information	Information Requests:	NHTSA IR ID	Request	Request Type	Number of Questions	Received	Response Due $ \downarrow $	Status	
Requests	nequests	PE24201-01	INIM-PE24201-14348.pdf 👤	Manufacturer	8	2024-May	2024-Jun-14	Viewed	



# SDI Portal Layout: Investigation Detail Page (2 of 7)

Header

#### Investigation Detail 1

Home > Investigations > PE24201 (2)



#	Element	Description
1	Page Title	Displays Investigation Detail page name
2	Breadcrumbs	<ul> <li>Displays hyperlinked Breadcrumbs feature, Home &gt; Investigations &gt; NHTSA ID</li> <li>Enables the user to navigate back to a previous page, such as the Investigation Dashboard, simply by clicking on the hyperlink.</li> </ul>



# SDI Portal Layout: Investigation Detail Page (3 of 7)

#### **Overview Section**

1	PE24201	5 Investigator: Jane Smith Division Chief: Sally May
2	Subject:	Transmission Failure
3	Products:	2005 V-ICKLE Trucks
4	Description:	There have been reported transmission failurers for the 2005 V-ICKLE Trucks.

#	Field Name	Description
1	NHTSA ID	Displays the ID assigned to the Investigation by NHTSA
2	Subject	Displays a brief description of the Investigation entered by the investigator
3	Products	Displays all the products the investigator has added to the active resume
4	Description	Displays the problem description entered by the investigator.
5	Investigator	Enables users to click the hyperlinked name of investigator assigned to the Investigation to create an email addressed to the investigator
6	<b>Division Chief</b>	Enables users to click the hyperlinked name of the Division Chief assigned to the Investigation to create an email addressed to the Division Chief.



## SDI Portal Layout: Investigation Detail Page (4 of 7)



#	Element	Description
1	Resume PDF	<ul> <li>Displays hyperlinked Opening Resume, Closing Resume, and Closing Report files that are attached to the Investigation, as applicable</li> <li>Enables users to click the hyperlink to open the file in a new tab or click the Download arrow to download the document</li> </ul>
2	Supplemental Data VOQs PDF	<ul> <li>Displays hyperlinked Opening and Closing Resume Supplemental Data VOQs files attached to the investigation, where applicable</li> <li>Enables users to click the hyperlink to open the file in a new tab or click the Download arrow to download the document</li> </ul>



# SDI Portal Layout: Investigation Detail Page (5 of 7)

#### **IR Extension Memos**



#	Element	Description
1	Extension Requests	The hyperlinked <b>Extension Request</b> feature displays hyperlinks for all IR extension requests for the given Investigation sorted in ascending order. The hyperlinked <b>Extension Request</b> feature enables users, where applicable, to open the corresponding <b>Extension Requests</b> page. If there are no IR extensions, this section will be blank.
2	IR Extension Memos	The hyperlinked <b>IR Extension Memos</b> file, where applicable, that is attached to the Investigation enables users to click the hyperlink to open the file in a new tab or click the <b>Download</b> arrow to download the document. If there are no IR extensions, this section will be blank.



## SDI Portal Layout: Investigation Detail Page (6 of 7)



#	Field Name	Description
1	NHTSA IR ID	The hyperlinked <b>NHTSA IR ID</b> field will display the IR ID assigned by NHTSA and will enable users to access the IR Response folder structure.
2	Request	The hyperlinked <b>Request</b> field will display the IR file name and will enable users to access the IR file in a new tab or to click the download icon to download the document.
3	Request Type	The <b>Request Type</b> field will display the type of IR (e.g., Manufacturer IR, Supplemental IR, Peer IR, etc.).
4	Number of Questions	The <b>Number of Questions</b> field will display the number of questions to be answered in the IR Letter.
5	Received	The <b>Received</b> field will display the date the IR Letter was sent to the SDI.
6	Response Due	The <b>Response Due</b> field will display the date the IR Response is due. Manufacturers may request an IR extension if they need more time.
7	Status	The <b>Status</b> field will display the status of the IR.



# SDI Portal Layout: Investigation Detail Page (7 of 7)

#### IR Response Status Field

**NOTE**: As the manufacturer views, uploads, and submits its IR Response submission, the IR Response status will reflect one of the following statuses.

	IR Response Status	Description
	Not Started	Default status automatically assigned when the Information Request record is created in SDI before the IR Letter hyperlink is selected.
	Viewed	Indicates that the IR letter has been opened, but no IR Response data has been uploaded and/or submitted for the specified IR.
	In Progress	Indicates that at least one file has been uploaded to the IR Response page for the specified IR.
	Complete	Indicates that the manufacturer has uploaded and submitted all IR Response files into the SDI for the specified IR.
Coming Soon	Response Rejected	(Status coming soon) Indicates that ODI has checked the "Rejected Document" box in the Investigations – Attachment pane for at least one file submitted in the manufacturer's IR Response.
	Response Accepted	Indicates that ODI has checked the "Response Accepted" box in the IR Details pane indicating that the IR Response files submitted by the manufacturer are acceptable and complete.

# AN AN INITIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

# SDI Layout Q & A (via Zoom Q&A)

Office of Defects Investigation August 1, 2024: 1:00 PM to 3:30 PM EDT August 6, 2024: 9:00 AM to 11:30 AM EDT

# Image: Constraint of the second state of the second sta

### **Opening Resumes and Information Requests (IRs)**

Office of Defects Investigation August 1, 2024: 1:00 PM to 3:30 PM EDT August 6, 2024: 9:00 AM to 11:30 AM EDT



#### Investigation Opened and Resume Sent to Manufacturer (1 of 3)

Once ODI opens an investigation and the Opening Resume is approved, the system will automatically do the following within the SDI Portal:

1. Create an investigation record in the SDI Investigation Dashboard of the subject and affected manufacturers, as applicable.

		Safety D	Defect Investigation	ons		John Doe  Logout 🕞
Investigation D	ashboard (2 Open)				Enter Keywor	d or NHTSA ID
Home > Investigations						MC Acme, Inc -
🔿 Last 60 days 🛛 🔘 La	ast year O Last 5 years O All					
Year NHTSA ID	Subject	Open Resume	Close Resume	Open Requests	Parent Investigation	Investigator
2024 <u>PE24201</u>	Transmission Failure	2024-May-17		0		JANE SMITH
<u>TQ24243</u>	V-ICKLE Truck	2024-Apr-15		2		JANE SMITH

**REMINDER**: Investigations will be organized by investigation year in descending order.



#### Investigation Opened and Resume Sent to Manufacturer (2 of 3)

2. Place a copy of the Opening Resume, and corresponding Supplemental Data VOQs, if applicable, in the manufacturer's SDI investigation record in the **Investigation Detail – Investigation Documents** section for the subject and affected manufacturers.

	атен	Safety Defect Investigations	John Doe   Logout 🕻
Investigation	Detail		
	<u>Home</u> > <u>Inves</u>	stigations > PE24201	
	PE24201	Investigator: Jane Division Chief: Sal	
	Subject:	Transmission Failure	<u>,,</u>
	Product:	2005 V-ICKLE Trucks	
	Description:	There have been reported transmission failurers for the 2005 V-ICKLE Trucks.	
	Investigation Documents:	INOA-PE24201-14340.pdf 🞍   INOV-PE24201-14341.pdf 🞍	
-	IR Extension Memos:		
	Information Requests:	NHTSA IR ID Request Request Type Number of Questions Received Response Due $\psi$ Status	



#### Investigation Opened and Resume Sent to Manufacturer (3 of 3)

- 3. Send the **SDI manufacturer and affected primary and secondary users** an email with a hyperlink to let them know they have something new to review in the SDI Portal.
  - Email will contain Investigation Id number
  - Email will contain a <u>https://portal.nhtsa.gov</u> hyperlink, which the manufacturer can click on to access the NHTSA Enterprise Portals login screen.

Subject: New Communication in Safety Defect Investigations Portal for < Investigation Id>

From: <u>NHTSA-ODI-Communication@service.govdelivery.com</u> Sent: <Date Timestamp> To: <<u>Mfr</u> Investigation Contact>

Investigation Id: <Investigation Id>

There is a new communication for Investigation <Investigation Id> in your NHTSA ODI Safety Defect Investigations (SDI) portal account for your review. To log into your SDI portal account, use the following link https://portal.nhtsa.gov

If you have any questions or concerns, please feel free to reach out to <Investigator name> for any technical questions and me for all others. Also, after you have reviewed the communication, if you would like to have a meeting to clarify any of the information or requests, please let me know.

Thank you for your support and cooperation.

Regards,

<Division Chief Name>

Division Chief - <Division>

<Division Chief Email>

**Department of Transportation** 

National Highway Traffic Safety Administration

1200 New Jersey Avenue SE., Washington, DC 20590

Email: < Division Chief email>

Office: < Division Chief Phone Number>



# Manufacturer Review of Opening Resume in SDI (1 of 5)

- 1. To access the new investigation record and corresponding investigation documents, login to the NHTSA Enterprise Portals.
  - a. Read the Login WARNING.
  - b. Check the Login only if you AGREE to consent to these terms of use box.
  - c. Click Login with login.gov button.

Enterprise Portals
WARNING         You are accessing a U.S. Government information system. This information system, including all related equipment, networks, and network devices, is provided for U.S. Government-authorized only.         Unauthorized or improper use of this information may result in disciplinary action, as well as civil and criminal penalties.         By using this information system you consent to the following:         • You have no reasonable expectation of privacy regarding any communications or data transiting this network or stored in this information system;         • At any time, and for any lawful government purpose, the government may monitor, intercept, search and seize any communication or data transiting or stored on this
<ul> <li>Any failed of any failed of any failed of any failed of this information system may be disclosed or used for any lawful government purpose.</li> <li>Any communication or data transiting or stored on this information system may be disclosed or used for any lawful government purpose.</li> <li>Login only if you AGREE to consent to these terms of use.</li> <li>Login with login.gov</li> </ul>



# Manufacturer Review of Opening Resume in SDI (2 of 5)

2. Once on the NHTSA Enterprise Portals landing page, select the Safety Defect Investigations (SDI) tile to enter the SDI portal and access their Investigation Dashboard.

	Ente	erprise Portals		Eileen West   Logout 🕩
Early Warning Reporting	Image: Second	Manufacturer Communications	Safety Defect Investigations	
EWR Launched: May 2020	Recalls Coming Soon: December 2024	MCP Launched: May 2024	SDI Launched: August 2024	

**NOTE**: Users will only see the Portal tiles for which they have manufacturers' account access.



## Manufacturer Review of Opening Resume in SDI (3 of 5)

Once in their **Investigation Dashboard**, select the manufacturer you would like to review from the **Manufacturer** drop-3. down list in the upper right corner of the Investigation Dashboard Header.

	HTSA		Safety [	Defect Investigati	ons		John Doej Logout 🔂
Invest	igation Dasł	nboard (2 Open)				Enter Keywo	rd or NHTSA ID
Home > Inv		er 🔿 Last 5 years 🔿 All				[	MC Acme, Inc -
Year	NHTSA ID	Subject	Open Resume	Close Resume	Open Requests	Parent Investigation	Investigator
2024	PE24201	Transmission Failure	2024-May-17		0		JANE SMITH
	TQ24243	V-ICKLE Truck	2024-Apr-15		2		JANE SMITH

#### **NOTE**: Only manufacturers associated with the user's account will appear in the **Manufacturer** drop-down list.



## Manufacturer Review of Opening Resume in SDI (4 of 5)

4. After the desired manufacturer has been selected, click on the desired **NHTSA ID** to open the **Investigation Detail** page for the investigation needing review.

			Safety I	Defect Investigati	ons		John Doe  Logout 🕞
Invest	igation Dash	iboard (2 Open)				Enter Keywo	rd or NHTSA ID
<u>Home</u> > Inv	restigations						MC Acme, Inc -
O Last 60	) days 🔘 Last yea	r O Last 5 years O All					
Year	NHTSA ID	Subject	Open Resume	Close Resume	Open Requests	Parent Investigation	Investigator
2024	PE24201	Transmission Failure	2024-May-17		0		JANE SMITH
	TQ24243	V-ICKLE Truck	2024-Apr-15				JANE SMITH

**NOTE**: For launch, manufacturers will see a minimum of the Opening Resumes, IRs, IR Response folder structure, and the IR Response Extension Request Data for investigations that are currently <u>Open</u>. Over time NHTSA will attempt to migrate additional information to the SDI Portal for the manufacturer's viewing.



# Manufacturer Review of Opening Resume in SDI (5 of 5)

5. To view the Opening Resume and/or Supplemental Data VOQs file(s), as available, click on the desired **Investigation Documents** hyperlink to open the file or the **Download** arrow to download the document.

		Safety Defect Investigations	John Do	e   Logout
nvestigation	Detail			
	<u>Home</u> > <u>Investi</u>	g <u>ations</u> > PE24201		
	PE24201		Investigator: Jane Smith Division Chief: Sally May	
	Subject:	Transmission Failure	<u>Division emeri bany may</u>	
	Product:	2005 V-ICKLE Trucks		
_	Description:	There have been reported transmission failurers for the 2005 V-ICKLE Trucks.		
[	Investigation Documents:	INOA-PE24201-14340.pdf		
_	IR Extension Memos:			
	Information Requests:	NHTSA IR ID Request Request Type Number of Questions Received Response Due $\psi$	Status	

**NOTE**: Once approved by ODI, manufacturers will also receive a copy of the Investigation Closing Resume, and if applicable, the Closing Resume Supplemental Data VOQs documents.



# Information Request (IR) Sent to Manufacturer (1 of 2)

Once the IR is approved by the Division Chief (by the Office Director for DPs and EAs), the system will <u>automatically</u> do the following <u>within the SDI Portal</u>:

- (1) For a subject manufacturer or an affected manufacturer IR, create an **Information Requests** record and add the appropriate IR and IR Response folders to the Investigation record.
- (2) For a peer, component, or supplier IR, create a new SDI investigation record with the Opening Resume, Supplemental Data VOQs (if available), appropriate IR and IR Response folders in the manufacturer's account.

				Safety	Defect Investiga	ations			John Doe   Log
tigation Def	tail								
Ho	ome > <u>Investi</u>	g <u>ations</u> > PE24201							
	24201							Investigator: Jane Smith Division Chief: Sally May	
		Transmission Failure 2005 V-ICKLE Trucks							
De	escription:	There have been rep	orted transmission failurers for th	ne 2005 V-ICKLE Truck	IS.				
	vestigation ocuments:	INOA-PE24201-1434	0.pdf 生   INOV-PE24201-1434	<u>1.pdf</u> 生					
	Extension emos:								
	formation equests:	NHTSA IR ID	Request	Request Type	Number of Questions	Received	Response Due $ \downarrow $	Status	
i i i	.942525	PE24201-01	INIM-PE24201-14348.pdf 生	Manufacturer	8	2024-May	2024-Jun-14	Not Started	



# IR Sent to Manufacturer (2 of 2)

- 3. Send the **SDI manufacturer primary and secondary users** an email with a hyperlink to let them know they have something new to review in the SDI Portal.
  - Email will contain Investigation Id number
  - Email will contain a <u>https://portal.nhtsa.gov</u> hyperlink, which the manufacturer can click on to access the NHTSA Enterprise Portals login screen.

Subject: New Communication in Safety Defect Investigations Portal for < Investigation Id>
From: <u>NHTSA-ODI-Communication@service.govdelivery.com</u> Sent: <date timestamp=""> To: &lt;<u>Mfr</u> Investigation Contact&gt;</date>
Investigation Id: <investigation id=""></investigation>
There is a new communication for Investigation <investigation id=""> in your NHTSA ODI Safety Defect Investigations (SDI) portal account for your review. To log into your SDI portal account, use the following link <u>https://portal.nhtsa.gov</u></investigation>
If you have any questions or concerns, please feel free to reach out to <investigator name=""> for any technical questions and me for all others. Also, after you have reviewed the communication, if you would like to have a meeting to clarify any of the information or requests, please let me know.</investigator>
Thank you for your support and cooperation.
Regards,
<division chief="" name=""></division>
Division Chief - <division></division>
<division chief="" email=""></division>
Department of Transportation
National Highway Traffic Safety Administration
1200 New Jersey Avenue SE., Washington, DC 20590
Email: <division chief="" email=""></division>
Office: <division chief="" number="" phone=""></division>



# Manufacturer Review of Information Request (IR) (1 of 5)

- 1. To access the new investigation record and corresponding investigation documents, login to the NHTSA Enterprise Portals.
  - a. Read the Login **WARNING.**
  - b. Check the Login only if you AGREE to consent to these terms of use box.
  - c. Click Login with login.gov button.

	Enterprise Portals
	WARNING You are accessing a U.S. Government information system. This information system, including all related equipment, networks, and network devices, is provided for U.S. Government-authorized only.
	Unauthorized or improper use of this information may result in disciplinary action, as well as civil and criminal penalties. By using this information system you consent to the following: • You have no reasonable expectation of privacy regarding any communications or data transiting this network or stored in this information system; • At any time, and for any lawful government purpose, the government may monitor, intercept, search and seize any communication or data transiting or stored on this information system; • Any communication or data transiting or stored on this information system may be disclosed or used for any lawful government purpose.
Γ	Login only if you AGREE to consent to these terms of use. Login with login.gov



# Manufacturer Review of IR (2 of 5)

2. Once on the NHTSA Enterprise Portals landing page, select the Safety Defect Investigations (SDI) tile to enter the SDI portal and access their Investigation Dashboard.





# Manufacturer Review of IR (3 of 5)

3. Once in their **Investigation Dashboard**, select the manufacturer you would like to review from the **Manufacturer** dropdown list in the upper right corner of the Investigation Header.

			Safety [	Defect Investigat	ions		John Doe  Logout 🕞
Invest	igation Dash	iboard (2 Open)				Enter Keywo	ord or NHTSA ID
Home > Inv		r 🔿 Last 5 years 🔿 All					MC Acme, Inc -
Year	NHTSA ID	Subject	Open Resume	Close Resume	<b>Open Requests</b>	Parent Investigation	Investigator
2024	PE24201	Transmission Failure	2024-May-17		0		JANE SMITH
	<u>TQ24243</u>	V-ICKLE Truck	2024-Apr-15		2		JANE SMITH

**NOTE**: Only manufacturers associated with the user's account will appear in the manufacturer drop-down list.



# Manufacturer Review of IR (4 of 5)

4. After the desired manufacturer has been selected, click on the desired **NHTSA ID** to open the **Investigation Detail** page for the investigation IR needing review.

© <u>≮</u> ▲ ☆			Safety [	Defect Investigati	ons		John Doe  Logout 🕞
Invest	igation Dash	nboard (2 Open)				Enter Keyw	ord or NHTSA ID
andres and	rectigations						
Home > Inv							MC Acme, Inc √
Home > Inv		ar 🔿 Last 5 years 🚫 All					MC Acme, Inc ∽
		ar 🔿 Last 5 years 🔿 All Subject	Open Resume	Close Resume	Open Requests	Parent Investigation	MC Acme, Inc ∨ Investigator
Last 60	) days 🔘 Last yea	-	Open Resume 2024-May-17	Close Resume	<b>Open Requests</b> O	Parent Investigation	

**REMINDER**: For launch, manufacturers will see a minimum of the Opening Resumes, IRs, IR Response folder structure, and the IR Response Extension Request Data for investigations that are currently <u>Open</u>. Over time NHTSA will attempt to migrate additional information to the SDI Portal for the manufacturer's viewing.



### Manufacturer Review of IR (5 of 5)

5. To view the IR, click on the appropriate **Information Requests - Request** hyperlink to open the IR file or the corresponding **Request Download** arrow to download the IR document.

				Safety Defect Investigations					
Investigation Detail									
Home > 1	vestigations > PE24201								
PE24201	PE24201								
Subject:	Subject:         Transmission Failure								
Products:	Products:       2005 V-ICKLE Trucks         Description:       There have been reported transmission failurers for the 2005 V-ICKLE Trucks.								
Descriptio									
-	Investigation Documents:								
IR Extensi Memos:	IR Extension Memos:								
Information Requests:	n NHTSA IR ID	Request	Request Type	Number of Questions	Received Res	ponse Due 🗸	Status		
Requests.	PE24201-01	INIM-PE24201-14348.pdf 👲	Manufacturer	8	2024-May 2024	4-Jun-14	Not Started		

**NOTE**: When the IR is initially received by the manufacturer, the IR *Status* will show "Not Started"; however, once the **Request** hyperlink is clicked, the IR *Status* will change to "Viewed".

# Image: Constraint of the second state of the second sta

# Opening Resume and Information Request Review Q & A (via Zoom Q&A)

Office of Defects Investigation August 1, 2024: 1:00 PM to 3:30 PM EDT August 6, 2024: 9:00 AM to 11:30 AM EDT

# Image: Constraint of the second state of the second sta

### Manufacturer IR Response Extension Requests

Office of Defects Investigation August 1, 2024: 1:00 PM to 3:30 PM EDT August 6, 2024: 9:00 AM to 11:30 AM EDT



# IR Response Extension Request (1 of 5)

 If after reviewing the IR, you want to request an IR Response (due date) extension from ODI for any of the requests (questions) in the IR, click on the appropriate Investigation Detail – Information Requests - NHTSA IR ID hyperlink to open the "Manufacturer"/ IR Response page.

	Safety Defect Investigations		John Doe   Logo						
Investigation Detail									
<u>Home</u> > <u>Inve</u>	Home > Investigations > PE24201								
PE24201		Investigator: Jane Smith Division Chief: Sally May							
Subject:	Transmission Failure	<u>ornore enter ouny may</u>							
Products:	2005 V-ICKLE Trucks								
Description:	Description:       There have been reported transmission failurers for the 2005 V-ICKLE Trucks.         Investigation       INOA-PE24201-14340.pdf ▲   INOV-PE24201-14341.pdf ▲         Documents:       INOA-PE24201-14340.pdf ▲   INOV-PE24201-14341.pdf ▲								
IR Extension Memos:	PE24201-01								
Information Requests:	NHTSA IR ID Request Request Type Number of Questions Received Response Due $\psi$	Status							
	PE24201-01         INIM-PE24201-14348.pdf         Manufacturer         8         2024-May         2024-Jun-14	Viewed							



# IR Response Extension Request (2 of 5)

2. In the **"Manufacturer"/ IR Response** page, click **Request Extension** button in the upper right corner of the page to open the **Request Extension** box.

				Safety Defec	t Investigations	John Doe   Logout 🖙		
B Upload       MC Acme, Inc / IR Response								
Ø Refresh Page		<u>Home</u> > <u>Investigations</u> > <u>PE24201</u> > P	Response Due: 2024-Jun-28 / Extension Cutoff: 2				2024-Jun-21 Request Extension	
PE24201-01 (0)	<mark>ک</mark>						Entire Response Co	omplete Tree View ON
+ Add Subfolder	9	🔲 Manufacturer Filename 个	NHTSA Filename	File Type	File Status	Date Submitted	Size	Submission Status
Submit Folder								
Mark as Complete								
Cover Letters (0)								
Q1 (0)								
Q2 (0)								
C3 (0)								
<b>Q</b> 4 (0)								

**NOTE**: Once in the **"Manufacturer"/ IR Response** page, the manufacturer will also see IR Response folders consisting of a Cover Letter folder and a folder for each question specified in the IR.


#### IR Response Extension Request (3 of 5)

- 3. Once the **Request Extension** box appears, the user can request an IR response due date extension by IR question number. To request an extension for a particular question:
  - a. Select the question from the **Select a question number** drop-down box.
  - b. Enter the *Extension Date Requested* for the question.
  - c. Enter a *Justification* for the extension request.
  - d. If needed, click the 
     to the left of Add New

     Question Number to request an extension for another question.
  - e. Once all questions requiring extensions have been completed, click Submit Request button to submit the extension request to the ODI Division Chief.

utoff: 2024-Jul-24
ng the Add New
d
u 🏥
-

**NOTE**: The number of the request is shown in parentheses to the right of the **Request Extension** title. Users are allowed up to 4 extension requests containing multiple questions per investigation.

**NOTE**: Once an extension request has been submitted, it can not be undone and the Division Chief will get a **Notification** that an IR Response extension request has been submitted for their review.



#### IR Response Extension Request (4 of 5)

4. To view the **Manufacturer/ Extension Requests** page, go to the **Investigation Detail** page and in the **IR Extension Memos** section, click on the **NHTSA IR ID** hyperlink.

	Safety Defect Investigations		John Doe   Logout 🕞
Investigation Detail			
<u>Home</u> > <u>Inv</u>	estigations > PE24201		
PE24201		Investigator: Jane Smith Division Chief: Sally May	
Subject:	Transmission Failure		
Products:	2005 V-ICKLE Trucks		
Description:	There have been reported transmission failurers for the 2005 V-ICKLE Trucks.		
Investigation Documents:	INOA-PE24201-14340.pdf 👱   INOV-PE24201-14341.pdf 🛓		
IR Extension Memos:	<u>PE24201-01</u>		
Information	NHTSA IR ID Request Request Type Number of Questions Received Response Due $\psi$	Status	
Requests:	PE24201-01         INIM-PE24201-14348,pdf. €         Manufacturer         8         2024-May         2024-Jun-14	Viewed	

#### IR Response Extension Request (5 of 5)

**NOTE**: The **Manufacturer/ Extension Requests** page provides a table of the extension requests sent to ODI and ODI's reply to these requests.

					ety Defect Investi		John Doe   Logout 🕞		
MC Acme, Inc / Extension Requests									
<u>Home &gt; Investigations &gt; P</u>	Home > Investigations > PE24201 > PE-24201-01 (IR Response) > PE24201-01 (Extension Requests)								
	Choose one or more question numbers and the extension date requested. Only 4 Extension Requests are permitted.							New E	xtension Request
	1	2	3	4	5	6	7	8	_
IR Extension Req Requests:	equest Number	Submitted	Original Due Date	Ext. Date Requested	Question #	Justification	Ext. Date Granted	Approval Status	
Requests. 3		2020-May-13	2024-Apr-03	2020-May-13	1	testing new justification	2020-May-13	Approve	
2		2020-May-13	2024-Apr-03	2020-May-13	1	testing new justification	2020-May-13	Approve	
1		2020-May-13	2024-Apr-03	2020-May-13	1	testing	2020-May-13	Approve	

#	Field Name	Description
1	Request Number	The Request Number field displays the number of the request out of four available requests.
2	Submitted	The <b>Submitted</b> field displays the date the extension request was submitted.
3	Original Due Date	The <b>Original Due Date</b> field displays the original due date of the IR Response.
4	Ext. Date Requested	The Ext. Date Request field displays the extension date that was requested.
5	Question #	The <b>Question #</b> field displays the question number for which the extension is being requested.
6	Justification	The Justification field displays the justification the submitter entered for the extension.
7	Ext. Date Granted	The Ext. Date Granted field displays the extension date that was granted. If the Approval Status is Denied, this will display the
		Original Due Date of the IR. If the Approval Status is Approved, this will display the Ext. Date Requested. If the Approval Status
		is <b>Approved with Modifications</b> , this will display the date entered by the Division Chief as the acceptable extension date.
8	Approval Status	The Approval Status field displays the approval status.





#### Division Chief's Reply to IR Extension Request (1 of 2)

- 1. Once the ODI Division Chief receives a notification that an IR Extension Request has been submitted, they will locate the appropriate Investigation IR Extension Requests page in ODI's internal system to review and reply to the request.
- 2. Once the **IR Extension Request** has been reviewed, the Division Chief will enter one of the following three replies for each question in the *Approval Status* field:
  - a. "Approve" to grant an extension date equal to the *Extension Date Requested*.
  - b. "Approve with Modifications" to grant an extension date equal to another date selected by the Division Chief.
  - c. "Deny" to deny the extension requested and return the due date for that question back to the Original Due Date.



#### Division Chief's Reply to IR Extension Request (2 of 2)

 Once the Chief has completed their reply to the IR Extension Request, they will generate a memo documenting the manufacturer's request and ODI's reply. U.S. Department of Transportation National Highway Traffic Safety Administration

1200 New Jersey Avenue SE Washington, DC 20590

#### Memo Granting IR Response Extension Request for PE23020-01

March 12, 2024

This memo documents a Mar 04, 2024, request from MC Acme, Inc, for a partial extension of time to respond to the Office of Defects Investigation (ODI) Information Request Letter PE23020-01 for PE23020 dated Mar 04, 2024. The original due date for MC Acme, Inc response to Information Request Letter PE23020-01 was Mar 11, 2024.

On Mar 12, 2024, ODI granted MC Acme, Inc's proposed partial extension for responding to Information Request Letter PE23020-01. MC Acme, Inc will submit its responses as outlined in the table below. For any IR Question response not included in the table below, MC Acme, Inc. will submit its response by the Mar 11, 2024 as specified in Information Request Letter PE23020-01.

Date Mfr Request Submitted to ODI	IR Question #	Extension Date Requested	Extension Date Granted	Justification
Mar 04, 2024	Q10	Mar 25, 2024	Mar 25, 2024	
Mar 04, 2024	Q11	Mar 25, 2024	Mar 25, 2024	
Mar 04, 2024	Q12	Mar 25, 2024	Mar 25, 2024	
Mar 04, 2024	Q13	Mar 25, 2024	Mar 25, 2024	



#### IR Extension Request Reply Sent to Manufacturer (1 of 2)

Once the Division Chief's reply memo has been generated, the system will automatically do the following within the SDI portal:

1. Place a .pdf copy of the Memo Granting IR Response Extension Request in the Investigations Detail - IR Extension Memo section.

		Safety Defect Investigations		John Doe   Logout G
Investigation D	etail			
	Home > Invest	tigations > PE24201		
	PE24201		Investigator: Jane Smith Division Chief: Sally May	
	Subject:	Transmission Failure	,	
	Products:	2005 V-ICKLE Trucks		
	Description:	There have been reported transmission failurers for the 2005 V-ICKLE Trucks.		
	Investigation Documents:	INOA-PE24201-14340.pdf 🛓   INOV-PE24201-14341.pdf 🖢		
	IR Extension Memos:	PE24201-01 INRE-PE24201-10300,pdf.		
	Information Requests:	NHTSA IR ID Request Request Type Number of Questions Received Response Due $\psi$	Status	
	inequests:	<u>PE24201-01</u> INIM-PE24201-14348.pdf  ▲ Manufacturer 8 2024-May 2024-Jun-14	Viewed	



#### IR Extension Request Reply Sent to Manufacturer (2 of 2)

- 2. Send the **SDI manufacturer primary and secondary users** an email with a hyperlink to let them know they have something new to review in the SDI Portal.
  - Email will contain Investigation Id number
  - Email will contain a <u>https://portal.nhtsa.gov</u> hyperlink, which the manufacturer can click on to access the NHTSA Enterprise Portals login screen.

Subject: New Communication in Safety Defect Investigations Portal for < Investigation Id>

From: <u>NHTSA-ODI-Communication@service.govdelivery.com</u> Sent: <Date Timestamp> To: <<u>Mfr</u> Investigation Contact>

Investigation Id: <Investigation Id>

There is a new communication for Investigation <Investigation Id> in your NHTSA ODI Safety Defect Investigations (SDI) portal account for your review. To log into your SDI portal account, use the following link https://portal.nhtsa.gov

If you have any questions or concerns, please feel free to reach out to <Investigator name> for any technical questions and me for all others. Also, after you have reviewed the communication, if you would like to have a meeting to clarify any of the information or requests, please let me know.

Thank you for your support and cooperation.

Regards,

<Division Chief Name>

Division Chief - <Division>

<Division Chief Email>

**Department of Transportation** 

National Highway Traffic Safety Administration

1200 New Jersey Avenue SE., Washington, DC 20590

Email: < Division Chief email>

Office: < Division Chief Phone Number>



#### Manufacturer Review of IR Extension Request Reply (1 of 7)

- 1. To access the Division Chief's IR Extension Request Reply and the corresponding **Memo Granting IR Response Extension Request**, login to the **NHTSA Enterprise Portals.** 
  - a. Read the Login WARNING.
  - b. Check the Login only if you AGREE to consent to these terms of use box.
  - c. Click Login with login.gov button.

<ul> <li>WARNING</li> <li>You are accessing a U.S. Government information system. This information system, including all related equipment, networks, and network devices, is provided for U.S. Government-authorized only.</li> <li>Unauthorized or improper use of this information may result in disciplinary action, as well as civil and criminal penalties.</li> <li>By using this information system you consent to the following: <ul> <li>You have no reasonable expectation of privacy regarding any communications or data transiting this network or stored in this information system;</li> <li>At any time, and for any lawful government purpose, the government may monitor, intercept, search and seize any communication or data transiting or stored on this information system; and</li> <li>Any communication or data transiting or stored on this information system may be disclosed or used for any lawful government purpose.</li> </ul> </li> <li>I cogin only if you AGREE to consent to these terms of use.</li> </ul>		Enterprise Portals
Government-authorized only. Unauthorized or improper use of this information may result in disciplinary action, as well as civil and criminal penalties. By using this information system you consent to the following: • You have no reasonable expectation of privacy regarding any communications or data transiting this network or stored in this information system; • At any time, and for any lawful government purpose, the government may monitor, intercept, search and seize any communication or data transiting or stored on this information system; and • Any communication or data transiting or stored on this information system may be disclosed or used for any lawful government purpose.	V	WARNING
<ul> <li>By using this information system you consent to the following:</li> <li>You have no reasonable expectation of privacy regarding any communications or data transiting this network or stored in this information system;</li> <li>At any time, and for any lawful government purpose, the government may monitor, intercept, search and seize any communication or data transiting or stored on this information system; and</li> <li>Any communication or data transiting or stored on this information system may be disclosed or used for any lawful government purpose.</li> </ul>	Yı G	fou are accessing a U.S. Government information system. This information system, including all related equipment, networks, and network devices, is provided for U.S. Sovernment-authorized only.
<ul> <li>You have no reasonable expectation of privacy regarding any communications or data transiting this network or stored in this information system;</li> <li>At any time, and for any lawful government purpose, the government may monitor, intercept, search and seize any communication or data transiting or stored on this information system; and</li> <li>Any communication or data transiting or stored on this information system may be disclosed or used for any lawful government purpose.</li> <li>I Login only if you AGREE to consent to these terms of use.</li> </ul>	U	Jnauthorized or improper use of this information may result in disciplinary action, as well as civil and criminal penalties.
<ul> <li>Any communication or data transiting or stored on this information system may be disclosed or used for any lawful government purpose.</li> <li>✓ Login only if you AGREE to consent to these terms of use.</li> </ul>	B	
		information system; and
Login with login.gov		gin only if you AGREE to consent to these terms of use.
		Login with login.gov



#### Manufacturer Review of IR Extension Request Reply (2 of 7)

2. Once on the NHTSA Enterprise Portals landing page, select the Safety Defect Investigations (SDI) tile to enter the SDI portal and access their Investigation Dashboard.





#### Manufacturer Review of IR Extension Request Reply (3 of 7)

3. Once in their **Investigation Dashboard**, select the desired manufacturer from the **Manufacturer** drop-down list in the upper right corner of the Investigation Header.

			Safety I	Defect Investigati	ons		John Doe  Logout 🕻
Invest	igation Dash	iboard (2 Open)				Enter Keywo	ord or NHTSA ID
Home > Inv O Last 60 Year		r 🔿 Last 5 years 🔿 All Subject	Open Resume	Close Resume	Open Requests	Parent Investigation	MC Acme, Inc •
2024	PE24201	Transmission Failure	2024-May-17		0		JANE SMITH
	<u>TQ24243</u>	V-ICKLE Truck	2024-Apr-15		2		JANE SMITH

**NOTE**: Only manufacturers associated with the user's account will appear in the manufacturer drop-down list.



#### Manufacturer Review of IR Extension Request Reply (4 of 7)

4. After the desired manufacturer has been selected, click on the desired **NHTSA ID** to open the **Investigation Detail** page for the investigation needing review.

	HTSA	Safety Defect Investigations			ons		John Doej Logout 🕞
Invest	igation Dash	iboard (2 Open)				Enter Keywo	rd or NHTSA ID
Home > Inv		ir 🔿 Last 5 years 🔿 All					MC Acme, Inc -
Year	NHTSA ID	Subject	Open Resume	Close Resume	Open Requests	Parent Investigation	Investigator
2024	PE24201	Transmission Failure	2024-May-17		0		JANE SMITH
	<u>TQ24243</u>	V-ICKLE Truck	2024-Apr-15		2		JANE SMITH

**REMINDER**: For launch, manufacturers will see a minimum of the Opening Resumes, IRs, IR Response folder structure, and the IR Response Extension Request Data for investigations that are currently <u>Open</u>. Over time NHTSA will attempt to migrate additional information to the SDI Portal for the manufacturer's viewing.



#### Manufacturer Review of IR Extension Request Reply (5 of 7)

5. To view the **Memo Granting IR Response Extension Request**, click on the desired **IR Extension Memos** hyperlink to open the file or the **Download** arrow to download the document.

	Safe	ety Defect Investiga	ations		John Doe   Logout 🕞
Investigation Detail					
Home > Inve	igations > PE24201				
PE24201				Investigator: Jane Smith Division Chief: Sally May	
Subject:	Transmission Failure			<u>,</u>	
Products:	2005 V-ICKLE Trucks				
Description:	There have been reported transmission failurers for the 2005 V-ICKLE	Trucks.			
Investigation Documents:	INOA-PE24201-14340.pdf 👲   INOV-PE24201-14341.pdf 🛓				
IR Extension Memos:	PE24201-01 INRE-PE24201-10300.pdf <b>±</b>				
Information	NHTSA IR ID Request Request	ype Number of Questions	Received Response Due $ \psi $	Status	
Requests:	PE24201-01 INIM-PE24201-14348.pdf  Manufacture	er 8	2024-May 2024-Jun-14	Viewed	



#### Manufacturer Review of IR Extension Request Reply (6 of 7)

**REMINDER**: To view the **Extension Requests** page now containing the Division Chief's reply, click on the **NHTSA IR ID** hyperlink in the **IR Extension Memos** section of the **Investigation Detail** page.

	Safety Defect Investigations	John Doe   Logout 🕞
Investigation Detail		
<u>Home</u> > <u>Inve</u>	estigations > PE24201	
PE24201		or: Jane Smith hief: Sally May
Subject:	Transmission Failure	<u></u>
Products:	2005 V-ICKLE Trucks	
Description:	There have been reported transmission failurers for the 2005 V-ICKLE Trucks.	
Investigation Documents:	INOA-PE24201-14340.pdf	
IR Extension Memos:	PE24201-01 INRE-PE24201-10300.pdf	
Information	NHTSA IR ID Request Type Number of Questions Received Response Due $\psi$ Status	
Requests:	PE24201-01         INIM-PE24201-14348.pdf         Manufacturer         8         2024-May         2024-Jun-14         Viewed	red



#### Manufacturer Review of IR Extension Request Reply (7 of 7)

#### **NOTE:** Extension Requests page will now show the initial manufacturer extension requests and the ODI Approval Status.

Home > Investigations > AQ24020 > AQ24020-01 (IR Response) > AQ24020-01 (Extension Requests)

Choose one or more question numbers and the extension date requested. Only 4 Extension Requests are permitted. Response Due: 2024-Aug-09 (Extended) / Extension Cutoff: 2024-Aug-02

New Extension Request

IR Extension	Request Number	Submitted	Original Due Date	Ext. Date Requested	Question #	Justification	Ext. Date Granted	Approval Status
Requests:	1	2024-Jul-26	2024-Aug-09	2024-Sep-05	5	Translation for records and any follow up	2024-Sep-05	Approved
				2024-Sep-05	4	Translation for records and any follow up	2024-Sep-02	Approved with Modifications
				2024-Sep-05	3	Translation for records and any follow up	2024-Sep-02	Approved with Modifications
				2024-Aug-30	2	Documentation	2024-Aug-09	Denied
				2024-Aug-30	1	Documentation	2024-Aug-30	Approved

# AN AN INISTRALION

# IR Extension Request and Reply Q & A (via Zoom Q&A)

Office of Defects Investigation August 1, 2024: 1:00 PM to 3:30 PM EDT August 6, 2024: 9:00 AM to 11:30 AM EDT

# Image: Constraint of the second state of the second sta

#### Manufacturer IR Response Submissions

Office of Defects Investigation August 1, 2024: 1:00 PM to 3:30 PM EDT August 6, 2024: 9:00 AM to 11:30 AM EDT



#### IR Response: Acceptable File Types

lcon	File Type	File Extension
Å	PDF	.pdf
Ŀ	IMAGE	.jpg, .jpeg, .png, .tif
<b>4</b> 3)	AUDIO	.wav, .mp3
	VIDEO	.avi, .mov, .mpeg, .mpg, .mp4, .wmv
X	EXCEL	.xls, .xlsx
w	WORD	.doc, .docx
csv	CSV	.CSV
P	POWER POINT	.ppt, .pptx
Ē	TEXT RTF	.txt, .rft
	DATA BASE	.accdb
	HTML	.html

**NOTE**: (1) .Zip files are prohibited. (2) Each file uploaded can not exceed 5 GB in size.



#### IR Response Submission to ODI (1 of 10)

- 1. To upload and submit an IR Response, login to the NHTSA Enterprise Portals.
  - a. Read the Login WARNING.
  - b. Check the Login only if you AGREE to consent to these terms of use box.
  - c. Click Login with login.gov button.

<b>NHTSA</b>	Enterprise Portals
	WARNING         You are accessing a U.S. Government information system. This information system, including all related equipment, networks, and network devices, is provided for U.S. Government-authorized only.         Unauthorized or improper use of this information may result in disciplinary action, as well as civil and criminal penalties.         By using this information system you consent to the following:         • You have no reasonable expectation of privacy regarding any communications or data transiting this network or stored in this information system;         • At any time, and for any lawful government purpose, the government may monitor, intercept, search and seize any communication or data transiting or stored on this information or stored on this
	<ul> <li>Any communication system; and</li> <li>Any communication or data transiting or stored on this information system may be disclosed or used for any lawful government purpose.</li> <li>Iogin only if you AGREE to consent to these terms of use.</li> <li>Login with login.gov</li> </ul>



#### IR Response Submission to ODI (2 of 10)

2. Once on the NHTSA Enterprise Portals landing page, select the Safety Defect Investigations (SDI) tile to enter the SDI portal and access their Investigation Dashboard.





#### IR Response Submission to ODI (3 of 10)

3. Once in their **Investigation Dashboard**, select the desired manufacturer from the **Manufacturer** drop-down list in the upper right corner of the Investigation Header.

			Safety [	Defect Investigati	ons	John Doej Logout 🕻		
Invest	igation Dash	iboard (2 Open)				Enter Keywo	rd or NHTSA ID Q	
Home > Inv C Last 60 Year		r 🔿 Last 5 years 🔿 All Subject	Open Resume	Close Resume	Open Requests	Parent Investigation	MC Acme, Inc -	
2024	PE24201	Transmission Failure	2024-May-17		0		JANE SMITH	
	TQ24243	V-ICKLE Truck	2024-Apr-15		2		JANE SMITH	

**NOTE**: Only manufacturers associated with the user's account will appear in the manufacturer drop-down list.



#### IR Response Submission to ODI (4 of 10)

4. After the desired manufacturer has been selected, click on the desired **NHTSA ID** to open the **Investigation Detail** page for the investigation needing review.

			Safety I	Safety Defect Investigations			John Doej Logout 🕞	
Invest	igation Dasl	nboard (2 Open)				Enter Keywo	rd or NHTSA ID	
Home > Inv	Home > Investigations MC Acme, Inc -							
O Last 60	days 🔘 Last yea	ar O Last 5 years O All						
Year	NHTSA ID	Subject	Open Resume	Close Resume	Open Requests	Parent Investigation	Investigator	
2024	PE24201	Transmission Failure	2024-May-17		0		JANE SMITH	
	TQ24243	V-ICKLE Truck	2024-Apr-15		2		JANE SMITH	

**REMINDER**: For launch, manufacturers will see a minimum of the Opening Resumes, IRs, IR Response folder structure, and the IR Response Extension Request Data for investigations that are currently <u>Open</u>. Over time NHTSA <u>may</u> migrate additional information to the SDI Portal for the manufacturer's viewing.



#### IR Response Submission to ODI (5 of 10)

5. Once in the **Investigation Detail** page, click on the appropriate **NHTSA IR ID** in the **Information Requests** section to access the corresponding IR Response folders.

		Safety Defect Investigations		John Doe   Logout 🕞
Investigation D	Detail			
	<u>Home</u> > <u>Inves</u>	tigations > PE24201		
	PE24201		Investigator: Jane Smith Division Chief: Sally May	
	Subject:	Transmission Failure	<u></u> ,	
	Products:	2005 V-ICKLE Trucks		
	Description:	There have been reported transmission failurers for the 2005 V-ICKLE Trucks.		
	Investigation Documents:	INOA-PE24201-14340.pdf 🞍   INOV-PE24201-14341.pdf 🛓		
	IR Extension Memos:	PE24201-01 INRE-PE24201-10300.pdf 🛓		
	Information	NHTSA IR ID Request Type Number of Questions Received Response Due $ \psi$	Status	
	Requests:	PE24201-01         INIM-PE24201-14348.pdf         Manufacturer         8         2024-May         2024-Jun-14	Viewed	



# IR Response Submission to ODI (6 of 10)

- 6. If you want to create sub-folders within a question folder (maximum of 50 child folders per parent folder), in the **Manufacturer/ IR Response** page:
  - a. Click on the desired folder.
  - b. Then click + Add Subfolder button.

		Safety Defect Investigations	John Doe   Logout 🕞
Dupload MC Ac	cme, Inc / IR Response		<b>≡</b> Audit
Ø Refresh Page	<u>Home</u> > <u>Investigations</u> > <u>PE24201</u> > PE24201-01 (IR Response)		Response Due: 2024-Jun-28 / Extension Cutoff: 2024-Jun-21 Request Extension
PE24201-01 (0)	Question 1		Entire Response Complete Tree View ON
+ Add Subfolder	☐ Manufacturer Filename ↑ NHTSA Filename	File Type File Status	Date Submitted Size Submission Status
Submit Folder			
Mark as Complete			
Cover Letters (0)			
Q1 (0)     Q2 (0)			

**NOTE**: The **IR Response** sub-folder structure created by the manufacturer in the SDI will be transferred back to the ODI Investigation record when the manufacturer submits the IR Response files to ODI.



# IR Response Submission to ODI (7 of 10)

7. To rename the "New Folder - #" sub-folder, double-click on the sub-folder and type in the new name.



**NOTE**: <u>To delete a sub-folder</u>:

- 1. Click the child subfolder you need to delete.
- 2. Click the trash icon next to the child subfolder's name to open the Confirm Subfolder Delete modal pop-up box.





## IR Response Submission to ODI (8 of 10)

8. Once any sub-folders have been created, click on the folder or sub-folder for which you want to upload your IR response.

	-	Safety Defect Investigations	John Doe   Logout 🕞
Upload MC A	cme, Inc / IR Response		<b>≡</b> Audit
Ø Refresh Page	Home > Investigations > PE24201 > PE24201-01 (IR Response)		Response Due: 2024-Jun-28 / Extension Cutoff: 2024-Jun-21 Request Extension
PE24201-01 (0)	Question 1 <ul> <li>Manufacturer Filename  NHTSA Filename</li> </ul>	File Type File Status	Entire Response Complete Tree View     A     Size Submission Status
+ Add Subfolder   Submit Folder			
Mark as Complete			Entire Question Response in Cover Letter
Q1 (0)     Q2 (0)			

**NOTE**: The folder to the left of the Cover letter/ Question number will remain clear until at least one file have been uploaded to it. Once at least 1 file has been uploaded to the folder, the folder will turn blue.

**NOTE**: If you click on an <u>empty</u> folder, the **Entire Question Response in Cover Letter** box will appear on the page.



#### IR Response Submission to ODI (9 of 10)

9. To indicate that the "Entire Question Response is in the Cover Letter", click the Entire Question Response in Cover Letter box and then click \_\_\_\_\_\_.

	<b>SA</b>			Safety Defec	t Investigations			John Doe   Logout 🕒
Dupload MC	CAc	me, Inc / IR Respons	e					<b>≡</b> Audit
		Home > Investigations > PE24201 > PE	24201-01 (IR Response)			Response Due: 2024-Jun-28 /	Extension Cutoff: 2024	-Jun-21 Request Extension
PE24201-01 (0)	۶	Question 1					Entire Response	Complete Tree View 💿
	-	🗌 Manufacturer Filename 个	NHTSA Filename	File Type	File Status	Date Submitted	Size	Submission Status
+ Add Subfolder Submit Folder	U							
Mark as Complete					ſ	• -		
Cover Letters (0)						Entire Que:	tion Response i	n Cover Letter
🗖 Q1 (0)								
C2 (0)								





#### IR Response Submission to ODI (10 of 10)

**NOTE**: Once the **Entire Question Response in Cover Letter** box is checked, the warning message below will appear. Once reviewed, click **Continue**. If any part of the response for the question is outside of the cover letter, click on the <u>Go</u> <u>Back</u> hyperlink to upload any IR Response files that need to be uploaded for the question.

×					
Entire Question Response in Cover Letter					
You are about to designate that the response for this question is fully addressed within the Cover Letter located in the dedicated Cover Letter folder.					
Please be aware of the following:					
<ul> <li>If any part of the response for this question is outside of the cover letter, please do not select this option and proceed to upload the respective files.</li> </ul>					
Please ensure the Cover Letter fully addresses the question before confirming its completion.					
Continue Go back					



#### IR Response Submission: Non-Confidential Files (1 of 7)

#### To upload non-confidential files to an IR Response folder:

1. Click on the desired folder and then click Upload in the upper left corner of the "Manufacturer Name"/IR Response page to bring up the Insert files box.

		Safety Defect Investigations	John Doe   Logout 🕞
Dupload MC A	cme, Inc / IR Response		<b>≡ Audit</b>
Ø Refresh Page	Home > Investigations > PE24201 > PE24201-01 (IR Response)		Response Due: 2024-Jun-28 / Extension Cutoff: 2024-Jun-21 Request Extension
PE24201-01 (0)	Question 1		Entire Response Complete Tree View 🔍
+ Add Subfolder	□ Manufacturer Filename ↑ NHTSA Filename	File Type File Status	Date Submitted Size Submission Status
Submit Folder			
Mark as Complete			
🗋 Q1 (0)	]		
Q2 (0)     Q3 (0)			



#### IR Response Submission: Non-Confidential Files (2 of 7)

- 2. Once the Insert files box appears:
  - a. Click the Non-Confidential (default) radio button.
  - b. Then drop and drag <u>up to 500 files at a time</u> into the **Insert files** box.

Insert files: PE24201-01 > Q1	Non-Confidential Files	O Paired Confidential Files
* All files uploaded as non-confidential are subject to publication.		0 attachment(s) added
Drag files here or <u>choose from fol</u>	<u>der</u>	

	Insert	Close
Note: Limit of 500 files per session across all sections. For paired confidential files, a maximum of 250 files per column applies		
Maximum size allowed per file is 5 GB		
.zip files are prohibited		
Multiple files can be uploaded for all 'Document Types'		
Following file types are allowed: .jpg, .accdb, .wav, .png, .avi, .doc, .docx, .html, .jpeg, .mov, .mp4, .mpeg, .mpg, .pdf, .rtf, .tif, .txt, .wmv, .xls, .xlsx, .mp3, .csv, .ppt, .ppt	(	

**REMINDER**: (1) .Zip files are prohibited. (2) Each file uploaded can not exceed 5 GB in size.



#### IR Response Submission: Non-Confidential Files (3 of 7)

c. Once the files appear in the **Insert files** box, review the files and if a file has been wrongly uploaded, click the "X" to the right of the file to delete it from being inserted into the folder.

Insert files: EQ24019-04 > Q3		Non-Confidential Files O Paired Confidential File				
* All files uploaded as non-confidential are subject to publication. 6 attachment(s) a						
	Drag f	iles here or	choose from folder			
Jira 2024-05-20T12_24_16-0400.csv	Size: 44.9 KB	×	uv Jira 2024-05-20T11_20_33-0400.csv	Size: 44.58 KB	×	
Jira 2024-05-20T10_02_47-0400.csv	Size: 63.69 KB	×	Jira 2024-05-20T10_01_15-0400.csv	Size: 56.68 KB	×	
Jira 2024-05-20T09_40_25-0400.csv	Size: 53.39 KB	×	uv Jira 2024-05-20T09_10_34-0400.csv	Size: 55.42 KB	×	

Insert	Close
Insert	Close

- · Note: Limit of 500 files per session across all sections. For paired confidential files, a maximum of 250 files per column applies
- Maximum size allowed per file is 5 GB
- .zip files are prohibited
- · Multiple files can be uploaded for all 'Document Types'
- Following file types are allowed: .jpg, .accdb, .wav, .png, .avi, .doc, .docx, .html, .jpeg, .mov, .mp4, .mpeg, .mpg, .pdf, .rtf, .tif, .txt, .wmv, .xls, .xlsx, .mp3, .csv, .ppt, .pptx



#### IR Response Submission: Non-Confidential Files (4 of 7)

d. Once the files have been reviewed, click the **Insert** button to enable the system to run a <u>validation check</u> on the files.

	lication.		1 attachment(s) added 🌘 1 Complete	• 1 Error
	Drag files	here or <u>choose from folder</u>		
🔮 🐠 Vehicle Information.png	Size: 83.24 KB	X Truck Headlight png	Size: 83.24 KB	×

**NOTE**: As a file is undergoing the validation check:

- A progression bar will appear below the filename to indicate the file is undergoing validation.
- Once a file passes the validation check, a green dot will appear to the left of the file name.
- If a file does **not** pass the validation check, a **red dot** will appear next to the filename and the file will need to be reloaded.
- To view the reason for the validation failure, hover over the **red dot**.

# IR Response Submission: Non-Confidential Files (5 of 7)

e. Once the validation check is complete and all files have uploaded, click the close button.

I files uploaded as non-confidential are subject to p	publication.		1 attachment(s) added 🥚 1 Complete	• 1 Erro
	Drag files h	ere or choose from folder		
• Vehicle Information.png	Size: 83.24 KB	X Truck Headlight.png	Size 83.24 KB	×

**NOTE**: A validation summary (files completing upload and number of errors) will be displayed in the upper right part of the **Insert files** box.



#### IR Response Submission: Non-Confidential Files (6 of 7)

f. Once the **Close** button is clicked, the system will run a file security check to ensure the files are safe to submit to ODI.

F	ilename 个	NHTSA Filename	File Type	File Status	Date Submitted	Size	Submission Status
PI	Inspected Vehicles_CBI.docx	INSC-PE24201-14742.docx	Non Confidential			1 KB	Not Submitted
Q	Inspected Vehicles_Redacted.docx	INSS-PE24201-14906.docx	Non Confidential			133 KB	Not Submitted

**NOTE**: While the system is running its security check:

- There will be a "P" to the left of the file until it passes the security check and then the "P" will disappear.
- <u>Any file that fails the security check will be marked "Q" and can not be submitted to ODI.</u>
- A revised file will need to be uploaded to the folder before submitting the folder to ODI.



#### IR Response Submission: Non-Confidential Files (7 of 7)

**NOTE**: Once at least one file (Non-Confidential or Paired Confidential) has been <u>uploaded</u> to any folder, the **IR Status** will change to **In Progress** on the **Investigation Detail** page.

IR Extension Memos:							
Information NHTSA IR ID R Requests:	lequest	Request Type	Number of Questions	Received	Response Due $ \downarrow $	Status	
•	NIM-PE24201-14348.pdf 生	Manufacturer	8	2024-May	2024-Jun-14	In Progress	



#### Confidential and Public (Redacted) File Naming Conventions

To enable the SDI Portal to automatically pair Confidential files with their respective Redacted/Public version of the files, the following naming conventions should be used to name Confidential Files and their Redacted/Public versions:

#### Confidential Files should be named using any of the 5 conventions below:

- File Name\_Confidential Business Information
- File Name\_Conf Bus Info
- File Name\_Conf
- File Name\_Confidential
- File Name\_CBI

Redacted Version of Confidential Files should be named using either of the 2 conventions below:

- File Name\_Public
- File Name\_Redacted

**NOTE**: The "File Name" portion of the Confidential and Redacted file names need to match exactly.

**NOTE**: Once the Paired-Confidential files are submitted to ODI, a *Contains CBI* box will automatically be checked in ODI's internal system and the files will be locked down. The Public versions of files will be reviewed, redacted by ODI for Personal Identifiable Information (PII), and then published to the NHTSA website.

#### IR Response Submission: Paired-Confidential Files (1 of 11)

To **upload Paired-Confidential files** for an IR Response folder:

- 1. Click on the folder in which you want to upload files.
- 2. Then click Upload in the upper left corner of the "Manufacturer Name"/ IR Response page.

		Safety Defect Investigations	John Doe   Logout 🖙
Deload MC A	cme, Inc / IR Response		<b>⊟ Audit</b>
Ø Refresh Page	Home > Investigations > PE24201 > PE24201-01 (IR Response)		Response Due: 2024-Jun-28 / Extension Cutoff: 2024-Jun-21 Request Extension
PE24201-01 (0)	Question 1		Entire Response Complete Tree View 🔍
+ Add Subfolder	☐ Manufacturer Filename ↑ NHTSA Filename	File Type File Status	Date Submitted Size Submission Status
Submit Folder			
Mark as Complete			
Cover Letters (0)			
🗖 Q1 (0)			
<b>Q</b> 2 (0)			
Q3 (0)			


## IR Response Submission: Paired-Confidential Files (2 of 11)

- 3. Select the **Paired Confidential** radio button.
- 4. Drop and drag up to 250 Confidential files into the Confidential Version (left) side of the page.
- 5. Drop and drag up to 250 Public files into the Public Version (right) side of the page.

nfidential Version 0 attachment(s) adde rredacted Files with Confidential Markings)	d * Public Version (Redacted Files)	0 attachment	l(s) added
Drag files here or <u>choose from folder</u>		Drag files here or choose from folder	Select All Complete CBI (0)

**NOTE**: (1) See slide #50 for list of acceptable file types. (2) Each file uploaded can not exceed 5 GB in size.



## IR Response Submission: Paired-Confidential Files (3 of 11)

If the entire **Confidential file** is confidential, check the **Complete CBI** box to the right of the file row to override the system 6. and not have to upload a Public version of the file.

onfic	lential Version (Unredacted Files with	Confidential Ma	arkings) 12 attachment	t(s) added	* Public	Version (Redacted Files)		11 attachment(s)	added	
	Drag file	s here or <u>choose fr</u>	om folder			Drag files here	or <u>choose from f</u>	older		Complete CBI (1)
5	Filename: R3_GMPlates_CBI.pdf	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_GMPlates_Redacted.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
	Filename: R3_6L90_Valve_CBLpdf	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_6L90_Valve_Redacted.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
ì	Filename: R3_GMPlates_test_CBLpdf	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_GMPlates_test_Redacted.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
		Missing Pair				Filename: R3_6L90_Results_Reducted.csv	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
	Filename: R3_6L90_Valve_CBLcsv	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_6L90_Valve_Redacted.csv	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
Ì	Filename: R3_6L90_Sup_CBI.docx	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_6L90_Sup_Redacted.docx	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
ì	Filename: R3_Valve_Machine_CBI.csv	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_Valve_Machine_Reducted.cov	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
6	Filename: R3_ValveFail_CBI.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	6	Filename: R3_ValveFail_Redacted.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
ì	Filename: R3_6L90_Failure_CBI.csv	Size: 2 MB	Date Submitted: Not Submitted	×		Mi	ssing Pair			Complete CBI
1	Filename: R3_6L90Analysis_CBI.csv	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_6L90Analysis_Redacted.csv	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
ĥ	Filename: R3_TransmissFail_CBI.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	6	Filename: R3_TransmissFail_Redacted.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI

Note: If <u>all the Confidential files for a given folder are being submitted</u> as all CBI, click the **Select All Complete CBI** box at the top of the Complete CBI column.



## IR Response Submission: Paired-Confidential Files (4 of 11)

7. If at least 1 Complete CBI box is checked for a given question number, select either the Generate Complete CBI Document or the Use Mfr Provided Complete CBI Document radio button to generate and submit a 1-page file that documents that "The remaining documents responsive to IR XXYY-###-## Question # have a Confidential Business Information (CBI) request from the manufacturer for the entirety of each document".

Confi	dential Version (Unredacted Files with	Confidential M	arkings) 12 attachment	(s) added	* Public	c Version (Redacted Files)		11 attachment(s	) added			
	Drag file	s here or <u>choose fr</u>	om folder			Drag files here or <u>choose from folder</u>						
ĥ	Filename: R3_GMPlates_CBLpdf	Size: 2 MB	Date Submitted: Not Submitted	×	L	Filename: R3_GMPlates_Redacted.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI		
Å	Filename: R3_6L90_Valve_CBLpdf	Size: 2 MB	Date Submitted: Not Submitted	×	ß	Filename: R3_6L90_Valve_Redacted.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI		
ĥ	Filename: R3_GMPlates_test_CBLpdf	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_GMPlates_test_Reducted.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI		
		Missing Pair				Filename: R3_6L90_Results_Reducted.csv	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI		
	Filename: R3_6L90_Valve_CBLcsv	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_6L90_Valve_Redacted.cov	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI		
Ŵ.	Filename: R3_6L90_Sup_CBLdocx	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_6L90_Sup_Redacted.docx	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI		
57	Filename: R3_Valve_Machine_CBI.csv	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_Valve_Machine_Redacted.csv	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI		
1	Filename: R3_ValveFail_CBI.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	6	Filename: R3_ValveFaiLRedacted.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI		
R.	Filename: R3_6L90_Failure_CBLcsv	Size: 2 M8	Date Submitted: Not Submitted	×		Mi	ising Pair			Complete CBI		
L SH	Filename: R3_6L90Analysis_CBI.csv	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_6L90Analysis_Redacted.csv	Size: 2 MB	Date Submitted: Not Submitted	×	C2 complete con		
5	Filename: R3_TransmissFail_CBI.mp4	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_TransmissFail_Redacted.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI		

NOTE: The Generate Complete CBI Document and Use Mfr Provided Complete CBI Document buttons will only activate once a "Complete CBI" box is checked and the Generate Complete CBI Document will be checked as a default.



## IR Response Submission: Paired-Confidential Files (5 of 11)

**NOTE**: If the **Generate Complete CBI Document** button is selected, the SDI Portal will automatically create the 1-page document as shown below for the question selected.

The remaining documents responsive to IR PE23016-01 Question 3 have a Confidential Business Information (CBI) request from the manufacturer for the entirety of each document.

Created: May 28, 2024

**NOTE**: The 1-page document will automatically be uploaded to the selected Question # folder as a non-confidential, public file.



## IR Response Submission: Paired Confidential Files (6 of 11)

**NOTE**: If the **Use Mfr Provided Complete CBI Document** is selected, you <u>will need to create and upload</u> a 1-page file that documents something similar to the wording on the previous slide. Once the **Use MFR Provided Complete CBI Document** button is selected, a box will appear for you to upload their own document.

Insert files: PE24046-01 >	> Q2		🔘 Non-Confidential Files 🌘	Paired Confidential Files		
Confidential Version (Unredacted Files with Confidential Markings)	4 attachment(s) added	* Public Version (Redacted Files)	0 attachmen	t(s) added		
Drag files here or <u>choos</u>	e from folder	Drag file	s here or <u>choose from folder</u>	Select All Complete CBI (2)		
Jira!2024's-04-09.T12_19_14-(3)	Size:105.59 KB X		Complete CBI Selected	Complete CBI		
W Jira!2024's-04-09.T12_19_14-(0)	Size:105.59 KB X		Missing Pair	Complete CBI		
W Jira!2024's-04-09.T12_19_14-(1)	Size:105.59 KB X		Complete CBI Selected	Complete CBI		
Jiral2024's-04-09.T12_19_14-(2)	Size:105.59 KB X		Missing Pair	Complete CBI		
Drag files here or <u>choose from folder</u>						
Generate Complete CBI Docume	nt 🔵 Use MFR Provided Co	omplete CBI Document		Insert Close		



## IR Response Submission: Paired Confidential Files (7 of 11)

**NOTE**: Once you drop and drag the file (or select it from a shared folder), the file will appear on the page as shown below.

onfidential Version Inredacted Files with Confidential Markings)	4 attachment(s) adde	d * Public Version (Redacted Files)	0 attachm	ent(s) added
Drag files here or <u>choor</u>	se from folder		Drag files here or <u>choose from folder</u>	Select All Complete CBI (2)
W Jira!2024's-04-09.T12_19_14-(3)	Size:105.59 KB X	] [	Complete CBI Selected	Complete CBI
W Jiral2024's-04-09.T12_19_14-(0)	Size:105.59 KB X	] [	Missing Pair	Complete CBI
W Jira!2024's-04-09.T12_19_14-(1)	Size:105.59 KB X	] [	Complete CBI Selected	Complete CBI
Jira!2024's-04-09.T12_19_14-(2) Size:105.59 KB		]	Missing Pair	Complete CBI
Drag files here or <u>choose from folder</u>	MFR Complete CBI Documen	t.d S	lize: 565.21 KB 🗙	
Generate Complete CBI Docume				Insert Clo

**NOTE**: The document will automatically be uploaded to the selected Question # folder as a non-confidential, public file once the Paired-Confidential files are inserted into the folder.



## IR Response Submission: Paired Confidential Files (8 of 11)

8. Once the files appear in the **Insert files** box, you should review the files and if a file has been wrongly uploaded, click the "X" to the right of the file to delete the file from being inserted.

Confi	dential Version (Unredacted Files with	Confidential M	arkings) 12 attachmen	t(s) added	* Publi	c Version (Redacted Files)		Complete CBI (1)		
	Drag file	s here or <u>choose fr</u>	om folder			Drag files here or <u>choose from fol</u>			Adex	
Ľ,	Filename: R3_GMPlates_CBLpdf	Size: 2 MB	Date Submitted: Not Submitted	×	L	Filename: R3_GMPlates_Redacted.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
Å	Filename: R3_6L90_Valve_CBLpdf	Size: 2 MB	Date Submitted: Not Submitted	×	<b>B</b>	Filename: R3_6L90_Valve_Redacted.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
ĥ	Filename: R3_GMPlates_test_CBLpdf	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_GMPlates_test_Reducted.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
		Missing Pair				Filename: R3_6L90_Results_Reducted.csv	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
8	Filename: R3_6L90_Valve_CBLcsv	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_6L90_Valve_Redacted.cov	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
W	Filename: R3_6L90_Sup_C8Ldocx	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_6L90_Sup_Redacted.docx	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
5	Filename: R3_Valve_Machine_CBI.csv	Size: 2 MB	Date Submitted. Not Submitted	×		Filename: R3_Valve_Machine_Redacted.csv	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
Ē.	Filename: R3_ValveFail_CBI.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	6	Filename: R3_ValveFail_Redacted.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
5	Filename: R3_6L90_Failure_CBLcsv	Size: 2 M8	Date Submitted: Not Submitted	×	[	Mit	ssing Pair			Complete CBI
a.	Filename: R3_6L90Analysis_CBI.csv	Size: 2 MB	Date Submitted: Not Submitted	×		Filename: R3_6L90Analysis_Redacted.csv	Size: 2 MB	Date Submitted: Not Submitted	×	Complete CBI
6	Filename: R3_TransmissFail_C81.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	6	Filename: R3_TransmissFail_Redacted.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	Complete C81

**NOTE**: If a Confidential Version of a file is missing, the manufacturer will need to upload the missing file before its Public Version can be inserted into the question folder and vise-versa.



## IR Response Submission: Paired Confidential Files (9 of 11)

9. Once you have reviewed to the files to ensure they are the ones they want to insert, click the **Insert** button to enable the system to run a file validation check.

**NOTE**: As a file is undergoing the validation check:

- A <u>progression bar</u> will appear below the filename to indicate the file is undergoing validation.
- Once a file passes the validation check, a green dot will appear to the left of the file name.
- If a file does <u>not</u> pass the validation check, a red dot will appear next to the filename and the file will need to be reloaded.
- To view the reason for the validation failure, hover over the red dot.

Confide	entia	al Version (Unredacted Files with Confi	dential Marking	s) 12 attachment(s)	added	* Publi	c Versi	ion (Redacted Files) 11 attachment(s) a	dded	
		Drag files here	or <u>choose from fol</u> e	ler				Drag files here or <u>choose from folder</u>		Complete CBI (1
ĥ	•	Filename: R3_GMPlates_CBI.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	k	٠	Filename: R3_GMPlates_Redacted.pdf Size: 2 MB Date Submitted: Not Submitted	×	Complete CBI
ĥ	•	Filename: R3_6L90_Valve_CBI.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	k	٠	Filename: R3_6L90_Valve_Redacted.pdf Size: 2 MB Date Submitted: Not Submitted	×	Complete CBI
۶	•	Filename: R3_GMPlates_test_CBI.pdf	Size: 2 MB	Date Submitted: Not Submitted	×	L.	٠	Filename: R3_GMPlates_test_Redacted.pdf Size: 2 MB Date Submitted: Not Submitted	×	Complete CBI
	٠	Filename R3_6L90_Valve_CBLcov	Size: 2 MB	Date Submitted: Not Submitted	×	6	•	Féename: R3_6L90_Valve_Reducted.cov Size 2 M8 Date Submitted: Not Submitted	×	Complete CBI
	•	Filename: R3_6L90_Sup_C8Ldocx	Size: 2 MB	Date Submitted: Not Submitted	×	6	•	Filename: R3_6L50_Sup_Reducted docx Size: 2.M0 Date Submitted: Not Submitted	×	Complete CBI
	•	Filename: R3, Valve, Machine, CBI.csv	Size: 2 MB	Date Submitted: Not Submitted	×	8	•	Filename: R3_Velve_Machine_Reducted.cov Size: 2 MB Date Submitted Not Submitted	×	Complete CBI
6	•	Filename: R3_ValveFall_CBI.mp4	Size: 2 MB	Date Submitted. Not Submitted	×	6	•	Filename: R3, ValveFail, Redacted.mp4 Size: 2 MB Date Submitted: Not Submitted	×	Complete CBI
	•	Filename: R3_6L90_Failure_CBLcsv	Size: 2 MB	Date Submitted: Not Submitted	×			Missing Pair		Complete CBI
	•	Filename: R3_6L90Analysis_CBLcsv	Size: 2 MB	Date Submitted: Not Submitted	×	6	•	Filename: R3_6L9DAnalysis_Redacted.csv Size: 2 M8 Date Submitted: Not Submitted	×	Complete CBI
6	•	Filename: R3, TransmissFail, OBLmp4	Size: 2 MB	Date Submitted: Not Submitted	×	6	•	Filename: R3_TransmissFall_Reducted.mp4 Size: 2 MB Date Submitted. Not Submitted	×	Complete CBI
ĥ	•	Filename: R3_TransmissFail_CBI.mp4	Size: 2 MB	Date Submitted: Not Submitted	×	B	٠	Filename: R3_TransmissFail_Redacted.mp4 Size: 2 MB Date Submitted: Not Submitted	×	Complete CBI
Limit c Maxim	of 50 um	ierate Complete CBI Document 10 files per session across all sectio size allowed per file is 5 GB re prohibited	-	FR Provided Complete CBI Dc			olum	n applies.	Ins	ert Close



## IR Response Submission: Paired Confidential Files (10 of 11)

10. Once the validation check is complete, click **Close**.

fidential Version (Unredacted Files with Confi	idential Markings) 12 attachment(s) adde	Public Version (Redacted Files)	led
Drag files here o	or <u>choose from folder</u>	Drag files here or <u>choose from folder</u>	Complete CBI (1)
Filename: R3_GMPlates_CBI.pdf	Size: 2 MB Date Submitted: Not Submitted	Filename: R3_GMPlates_Redacted.pdf Size: 2 MB Date Submitted: Not Submitted	Complete CBI
Filename: R3_6L90_Valve_CBI.pdf	Size: 2 MB Date Submitted: Not Submitted X	Filename: R3_6L90_Valve_Redacted.pdf Size: 2 MB Date Submitted: Not Submitted >	Complete CBI
Filename: R3_GMPlates_test_CBI.pdf	Size: 2 MB Date Submitted: Not Submitted X	Filename: R3_GMPlates_test_Redacted.pdf Size: 2 MB Date Submitted: Not Submitted	Complete CBI
Generate Complete CBI Document	O Use MFR Provided Complete CBI Docur	nent	Insert
mit of 500 files per session across all section aximum size allowed per file is 5 GB	ions. For paired confidential files, a maximum of 2	50 files per column applies.	
Annum size allowed per me is 5 6b			



## IR Response Submission: Paired Confidential Files (11 of 11)

11. Once you click **Close** , the system will run a security check on the files to ensure they are safe to submit to ODI.

	Filename 个	NHTSA Filename	File Type	File Status	Date Submitted	Size	Submission Status
P	Irspected Vehicles_CBI.docx	INSC-PE24201-14742.docx	Paired Confidential			1 KB	Not Submitted
c	Inspected Vehicles_Redacted.docx	INSS-PE24201-14906.docx	Paired Confidential			133 KB	Not Submitted

**NOTE**: While the system is running its security check:

- There will be a "P" to the left of the file until it passes the security check and then the "P" will disappear.
- <u>Any file that fails the security check will be marked "Q" and can not be submitted to ODI.</u>
- A revised file will need to be uploaded to the folder before submitting the folder to ODI.



# IR Response Submission to ODI (1 of 7)

To submit IR response folders to ODI once <u>all</u> the files for the <u>particular submission date</u> are uploaded to their respective folders:

1. Check the box to the right of the cover letter and/or question folder that is complete and ready to be submitted.



2. Click Save at the bottom of the page to open the **Confirm Completion for Selected Item(s)** box to enable the user to confirm the folder is complete and ready for submission.





## IR Response Submission to ODI (2 of 7)

3. Click the Confirm & Complete button to prepare all files within the folder (and corresponding sub-folders) for submission.
K
Confirm Completion for Selected Item(s)

You are about to mark the following item(s) to complete:

Q1

This action will not prevent the addition of more files to these response folders.

Please confirm, as this cannot be undone.

Confirm & Complete

<u>Go back</u>

**NOTE:** All files uploaded to the Cover Letter or a Question # folder, including its related sub-folders will be submitted when the parent folder is submitted.

<b>NOTE</b> : Once a folder is checked, sarright of the parent folder.	aved, and the	Confirm & C	omplete	button is clicked	l, a green circl	le will appear to the
	Mark as Complete					
	Cover Letters (1	1) 🥑				
	<b>Q1</b> (1)	0				



## IR Response Submission to ODI (3 of 7)

4. Once a folder has been identified with a green circle and is ready to be submitted to ODI, click upper left corner of the screen to open the **Confirm Response Submission** box.

Submit Folder in the





## IR Response Submission to ODI (4 of 7)

Click

**Confirm & Submit** to transmit the IR response folder files to ODI.



**NOTE:** Once you click **Confirm & Submit**, you will not be able to add any additional files to the Question folder for the submission; however, you will be able to add new files to the **Cover Letter** folder. In addition, once you submit your files, they will become a government record.

6. Repeat steps 1. through 5. for each folder to be submitted on a given date.



#### IR Response Submission to ODI (5 of 7)

**NOTE:** As each folder is submitted, files not quarantined will have their *Submission Status* changed from "Not Submitted" to "Response Submitted". Below is a table summarizing the **File System Statuses**.

Status	Description
Not Submitted	The <b>Not Submitted</b> status indicates that the file has been uploaded but not yet submitted for NHTSA review.
Response Submitted	The <b>Response Submitted</b> status indicates the file has been submitted and is awaiting NHTSA review.
Response Accepted	The <b>Response Accepted</b> status indicates the entire submitted response has been reviewed and accepted by NHTSA.
Response Rejected	The <b>Response Rejected</b> status indicates the entire submitted response has been reviewed and rejected by NHTSA.
Amendment Replacement Submitted	The <b>Amendment Replacement Submitted</b> status indicates an amendment to a previously submitted response has been submitted and is awaiting NHTSA review.
Amendment Replacement Accepted	The <b>Amendment Replacement Accepted</b> status indicates an amendment to a previously submitted response has been reviewed and accepted by NHTSA.
Amendment Replacement Rejected	The <b>Amendment Replacement Rejected</b> status indicates an amendment to a previously submitted response has been reviewed and rejected by NHTSA.
Amendment Supplemental Submitted	The <b>Amendment Supplemental Submitted</b> status indicates an additional file has been submitted for a previously submitted response and is awaiting NHTSA review.
Amendment Supplemental Accepted	The <b>Amendment Supplemental Accepted</b> status indicates an additional file submitted to a previously submitted response has been reviewed and accepted by NHTSA.
Amendment Supplemental Rejected	The <b>Amendment Supplemental Rejected</b> status indicates an additional file submitted to a previously submitted response has been reviewed and rejected by NHTSA.



## IR Response Submission to ODI (6 of 7)

7. Once all the files have been submitted based upon the dates approved by ODI in the IR Extension Request Reply, click the Entire Response Complete box and then click save.

			Safety De	fect Investigations			John Doe   Logout 🕞
Dupload MC	; Ac	me, Inc / IR Response					<b>≡ Audit</b>
Ø Refresh Page		Home > Investigations > DP24201 > DP24201-02 (IR Response	)		Response Due: 2024-Jun-13 / Ex	tension Cutoff: 2024-Jun-6	Request Extension
DP24201-02 (1)	۶	Question 6				Entire Response Complete	Tree View
		☐ Filename ↑ NHTSA Filename	File Type	File Status	Date Submitted	Size Subr	nission Status
+ Add Subfolder							
Submit Folder							
ark as Complete					G	Entire Question Response	e in Cover Letter
Cover Letters (1)	۲						
Q1 (0)	0						
Q2 (0)	0						
Q3 (0)	0						
Q4 (0)	0			No Rows To Show			
Q5 (0)	0						
<b>Q</b> 6 (0)	0	NHTSA retains old copies of files that are Deleted or Replaced after	Submit				
							Save
		<ul> <li>Note: Limit of 500 files per session across all sections. For paired</li> <li>Maximum size allowed per file is 5 GB</li> <li>.zip files are prohibited</li> <li>Only the following special characters are allowed in the filename</li> <li>Multiple files can be uploaded for all 'Document Types'</li> <li>Following file types are allowed: jpg, .accdb, .wav, .pngavi, .do</li> </ul>	· · · ` 0				

## IR Response Submission to ODI (7 of 7)







## Uploading and Submitting IR Response Files Q & A (via Zoom Q&A)

Office of Defects Investigation August 1, 2024: 1:00 PM to 3:30 PM EDT August 6, 2024: 9:00 AM to 11:30 AM EDT



## ODI's Review of an IR Response Submission (1 of 2)

- 1. Once files for the Cover Letter and/or each question in the IR Response have been submitted to ODI, investigators will review the submission for completeness and orderliness to ensure ODI has received complete responses to its requests and that the files have been submitted in a format conducive to ODI's review.
- 2. Each approved non-confidential file, those not containing Confidential Business Information (CBI), will be sent to ODI's Consumer Engagement Division (CED) for review, redaction, and publishing to ODI's public website, as appropriate.
- 3. If a file or group of files is not complete or has not been submitted in a manner conducive for ODI's review, the investigator may reject the file(s).



# ODI's Review of an IR Response Submission (2 of 2)

4. If any IR Response files have been rejected, the system will send the **SDI manufacturer primary and secondary users** an email to let them know they have something to review in their SDI account.

Subject: N	ew Communication in Safety Defect Investigations Portal for < Investigation Id>
From: <u>NHT</u>	SA-ODI-Communication@service.govdelivery.com
	e Timestamp>
To: < <u>Mfr</u> In	vestigation Contact>
Investigati	on Id: <investigation id=""></investigation>
There is a n	ew communication for Investigation <investigation id=""> in your NHTSA ODI Safety Defec</investigation>
	ns (SDI) portal account for your review. To log into your SDI portal account, use the
following lir	k: <u>https://portal.nhtsa.gov</u>
If you have	any questions or concerns, please feel free to reach out to <investigator name=""> for any</investigator>
	sestions and me for all others. Also, after you have reviewed the communication, if you
would like t	o have a meeting to clarify any of the information or requests, please let me know.
Thank you f	for your support and cooperation.
Regards,	
< Division	Chief Name>
Division Chi	ief - <division></division>
<division c<="" td=""><td>hief Email&gt;</td></division>	hief Email>
Departmen	nt of Transportation
National H	ighway Traffic Safety Administration
1200 New	Jersey Avenue SE., Washington, DC 20590
Email: <div< td=""><td>vision Chief email&gt;</td></div<>	vision Chief email>
Office: <di< td=""><td>vision Chief Phone Number&gt;</td></di<>	vision Chief Phone Number>



×

## Submitting Amended Replacement Files in Response to Rejected Files (1 of 7)

**NOTE:** You can only amend or replace one <u>type</u> of file at a time (i.e., either non-confidential files or paired-confidential).

If you try to amend or replace both types at the same time, an **Unsupported File Type Combination** box will appear.

You will need to either select **Proceed with Non-Confidential files**, **Proceed with Paired-Confidential files**, **Proceed with Complete CB**, or **Go Back**.

#### **Unsupported File Type Combination**

You have selected multiple files of different types for replacement.

#### Non-confidential Files:

FileA.\_CBlextension, FileB\_CBl.extension FileC\_CBl.extension FileD\_CBl.extension, FileE\_CBl.extension FileF\_CBl.extension FileG\_CBl.extension, FileH\_CBl.extension FileI\_CBl.extension FileJ\_CBl.extension, FileK\_CBl.extension FileL\_CBl.extension

#### Pair Confidential Files:

FileA\_Redacted.extension, FileB\_Redacted.extension FileC\_Redacted.extension, FileD\_Redacted.extension

Please note that you can only amend-replace one file type at a time to ensure data integrity and consistency.

Please choose one category of files to proceed with, or deselect to correct your selection:





## Submitting Amended Replacement Files in Response to Rejected Files (2 of 7)

**NOTE:** The manufacturer will need to resubmit the rejected file(s) as **Amended Replacement** file(s).

1. To resubmit a replacement file for a rejected file, select the question containing the rejected file(s) that you want to resubmit.

AQ24020-01 (30)	۶	Question 2					Entire R	esponse Complete Tree View 👓
		🗌 Manufacturer Filename 个	NHTSA Filename	File Type	File Status	Date Submitted	Size	Submission Status
+ Add Subfolder	0	Screenshot 2024-07-26 101310.png	INRD-AQ24020-14528.png	Non Confidential	Duplicate File	2024-Jul-26	183 KB	Response Rejected
Submit Folder		Sprint 22 Goals (revised).docx	INRD-AQ24020-14527-Ame	Non Confidential		2024-Jul-30	15 KB	Amended Replacement Accepted
Mark as Complete								
Cover Letters (17)	0							
<b>Q1 (10)</b>	٢							
Q2 (2)								
D3 (1)	0							

**NOTE:** For folders containing a rejected file, the green checkbox next to the folder will be unchecked. In addition, the rejected files within the folder will display a **Submission Status** of "Response Rejected".



#### Submitting Amended Replacement Files in Response to Rejected Files (3 of 7)

2. Click on the rejected file(s) to be replaced and then click the Replace  $\Box$  button.

AC	24020-01 (30)	<mark>ک</mark>	Question 2					Entire Res	ponse Complete Tree View 이 🔵
			× 1 Selectec Replace 🔁	Delete					
	+ Add Subfolder	θ	■ Manufacturer Filename ↑	NHTSA Filename	File Type	File Status	Date Submitted	Size	Submission Status
	Submit Folder		Screenshot 2024-07-26 101310.png	INRD-AQ24020-14528.png	Non Confidential	Duplicate File	2024-Jul-26	183 KB	Response Rejected
Ma	ark as Complete		Sprint 22 Goals (revised).docx	INRD-AQ24020-14527-Ame	Non Confidential		2024-Jul-30	15 KB	Amended Replacement Accepted
	Cover Letters (17)	۲							
	Q1 (10)	0							
	Q2 (2)								
> 🗅	Q3 (1)	0							
	Q4 (0)	0							
C	<b>Q</b> 5 (0)	0							



## Submitting Amended Replacement Files in Response to Rejected Files (4 of 7)

- 3. Select Non-Confidential or Paired Confidential button.
- 4. Drag and drop your **new Replacement** files into the **Replace files** box or click the **choose from folder** hyperlink to open your file explorer and select files manually.

Replace files: PE19024-01 > Q1	Non-Confidential Files O Paired Confidential Files
* All files uploaded as non-confidential are subject to publication.	1 attachment(s) added
Drag files here or choose 2024-05-31 Recalls DME Discovery M Size: 39.61 KB Select file to replace Select file to replace Vehicle Information pro	<u>e from folder</u>



**NOTE:** The appropriate **Replace files** box (i.e., non-confidential or paired confidential files) will appear automatically. In addition, a drop-down box containing the rejected files you selected will appear to enable you to select the file you are replacing.



#### Submitting Amended Replacement Files in Response to Rejected Files (5 of 7)

5. For each new file displayed, select the "file to replace" from the **Select file to replace** drop-down box.

Replace files: PE19024-01 > Q1	Non-Confidential Files O Paired Confidential Files
* All files uploaded as non-confidential are subject to publication.	1 attachment(s) added
Drag files here or choose	<u>: from folder</u>





## Submitting Amended Replacement Files in Response to Rejected Files (6 of 7)

6. When you are done with all the files you want to replace <u>for a given file</u> type within that folder, click **Insert**, wait for files to validate, and then click **Close**.

Replace files: PE19024-01 > Q1	Non-Confidential Files O Paired Confidential Files
* All files uploaded as non-confidential are subject to publication.	1 attachment(s) added
Drag files h	re or choose from folder
2024-05-31 Recalls DME Discovery M Size: 39.61 KB Vehicle Information.pr 🗸	×



7. Repeat steps 1 through 6, as needed, for other rejected file types and folders.

**NOTE:** For any folders that have been re-opened due to rejected files, users may also upload additional **Amended Supplemental** files during this time.



#### Submitting Amended Replacement Files in Response to Rejected Files (7 of 7)

**NOTE:** As each folder containing **Amended Replacement** or **Amended Supplemental** files is submitted, the amended files will have their **Submission Status** changed from "Not Submitted" to "Amendment Replacement Submitted" or Amendment Supplemental Submitted". Below is a table summarizing the **System File Statuses**.

Status	Description
Not Submitted	The Not Submitted status indicates that the file has been uploaded but not yet submitted for NHTSA
	review.
Response Submitted	The <b>Response Submitted</b> status indicates the file has been submitted and is awaiting NHTSA review.
Response Accepted	The Response Accepted status indicates the entire submitted response has been reviewed and accepted by
	NHTSA.
Response Rejected	The Response Rejected status indicates the entire submitted response has been reviewed and rejected by
	NHTSA.
Amendment Replacement Submitted	The Amendment Replacement Submitted status indicates an amendment to a previously submitted
	response has been submitted and is awaiting NHTSA review.
Amendment Replacement Accepted	The Amendment Replacement Accepted status indicates an amendment to a previously submitted
	response has been reviewed and accepted by NHTSA.
Amendment Replacement Rejected	The Amendment Replacement Rejected status indicates an amendment to a previously submitted
	response has been reviewed and rejected by NHTSA.
Amendment Supplemental Submitted	The Amendment Supplemental Submitted status indicates an additional file has been submitted for a
	previously submitted response and is awaiting NHTSA review.
Amendment Supplemental Accepted	The Amendment Supplemental Accepted status indicates an additional file submitted to a previously
	submitted response has been reviewed and accepted by NHTSA.
Amendment Supplemental Rejected	The Amendment Supplemental Rejected status indicates an additional file submitted to a previously
	submitted response has been reviewed and rejected by NHTSA.



## **Future Amended Supplemental Functionality**

**NOTE:** NHTSA is working on a future enhancement to enable manufacturers to submit **Amended Supplemental** files to ODI until the entire IR response is complete, as needed.

**NOTE**: Once the **Confirm & Submit** button is clicked, the **Response Status** for each of the amended files submitted will change from "Not Submitted" to "Amended Replacement Submitted" or to "Amended Supplemental Submitted".



## **ODI Acceptance of Complete IR Response Submission**

- 1. Once investigators have received and reviewed the "Complete IR Response" submission and determine that all requested information has been submitted/resubmitted and is acceptable, they will mark the IR Response as "Accepted".
- 2. Once this happens, the Information Requests IR Record Status will change to Response Accepted.

				Safety D	efect Investigat	ions			John Doe   Logout 🕞
Investigation De	etail								
Ľ	Home > Invest	igations > PE24201							
P	PE24201							Investigator: Jane Smith Division Chief: Sally May	
s	Subject:	Transmission Failure						<u>Dreision einen bany may</u>	
Р	Products:	2005 V-ICKLE Trucks							
ם 	Description:	There have been repo	orted transmission failurers for the	2005 V-ICKLE Trucks.					
	nvestigation Documents:	INOA-PE24201-14340	0.pdf 生   INOV-PE24201-14341.	pdf 生					
	R Extension Vlemos:	<u>PE24201-01</u> INRE-F	2 <u>E24201-10300.pdf</u>						
	nformation	NHTSA IR ID	Request	Request Type	Number of Questions	Received	Response Due $ \downarrow$	Status	
к	Requests:	PE24201-01	INIM-PE24201-14348.pdf. 生	Manufacturer	8	2024-May	2024-Jun-14	Response Accepted	



## **Publics View of Investigation Files**

As the **Resume**, **Information Request (IR)**, and **Investigation Extension Request Memo** are reviewed and approved by ODI will be published to NHTSA's website and available for public view.

August 6, 2019 Retsa action NUMBER: PE19009
Occupant Detection System (ODS) Failure
NHTSA Action Number: PE19009
Components AIR BAGS
Opened From: August 6, 2019–January 22, 2020
Summary
The Office of Defects Investigation (ODI) opened PE19-009 to investigate 51 complaints and additional Early Warning Report (EWR) data related to allegations of front passenger seat Occupant Detection System (ODS) malfunctions in model year (MY) 2016 through 2018 Subaru Forester vehicles. These vehicles use a capacitive type ODS, which is intended to classify the occupant of the passenger seat as either full-sized, small stature, a child seat or other object. The complaints reported the ODS failed to operate properly, the SRS (Supplemental Restraint System) warning light illuminated, and/or the ODS incorrectly suppressed (turned off) the front passenger air bag when the seat was occupied by a full-sized passenger. In a frontal crash that is sufficient to warrant deployment, a suppressed air bag may increase the risk of injury to the occupant of the seat.
On August 14, 2019 ODI sent an Information Request (IR) to Subaru requesting information on the subject vehicles and MY 2014-2015 Subaru Forester vehicles.
On October 3, 2010, Subaru submitted a Defect Information Report (DIR) to NHTSA

describing a safety defect in the passenger-side front airbag Occupant Detection

#### 6 Associated Documents -OPENING RESUME APPROVED ▲ INOA-PE19009-9501.PDF 0.922KB CLOSING RESUME APPROVED **↓ INCLA-PE19009-3805.PDF** 0.92KB IR TO MFR **⊥** INIM-PE19009-75921.pdf 0.622KB 86134 - SUBARU 10-11-2019, LETTER TO ODI **⊻ INRL-PE19009-86134P.pdf** 0.225KB 86138 - SUBARU 10-11-2019, REQUEST NUMBER TWO DOCS **⊻ INRD-PE19009-86138P.pdf** 24.066KB 86133 - EXTENSION REQUEST AND ODI REPLY 9-20-2019 **⊥** INRE-PE19009-86133P.pdf 0.139KB 1 Associated Recall -Request Research (Services fees apply)

3 Affected Products -



## **Next Steps**

- ODI will conduct 2nd Manufacturer SDI Training Session (only need to attend 1 session): Tuesday, August 6 from 9 to 11:30 am
- SDI Portal targeted to be released to manufacturers between Monday, August 12 and Wednesday, August 14
- ODI will post SDI Portal Manufacturer Training Slides on <u>www.nhtsa.gov</u> website (in manufacturer's section) in mid-August.
- For assistance regarding <u>SDI Portal access</u>, send an email to <u>Mfrewr.Helpdesk@dot.gov</u>
- For assistance regarding any <u>other SDI Portal questions</u>, send an email to <u>odi.mist@dot.gov</u>.

# AN AN INAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

## General Q & A (via Zoom Q&A)

Office of Defects Investigation August 1, 2024: 1:00 PM to 3:30 PM EDT August 6, 2024: 9:00 AM to 11:30 AM EDT