



# NHTSA

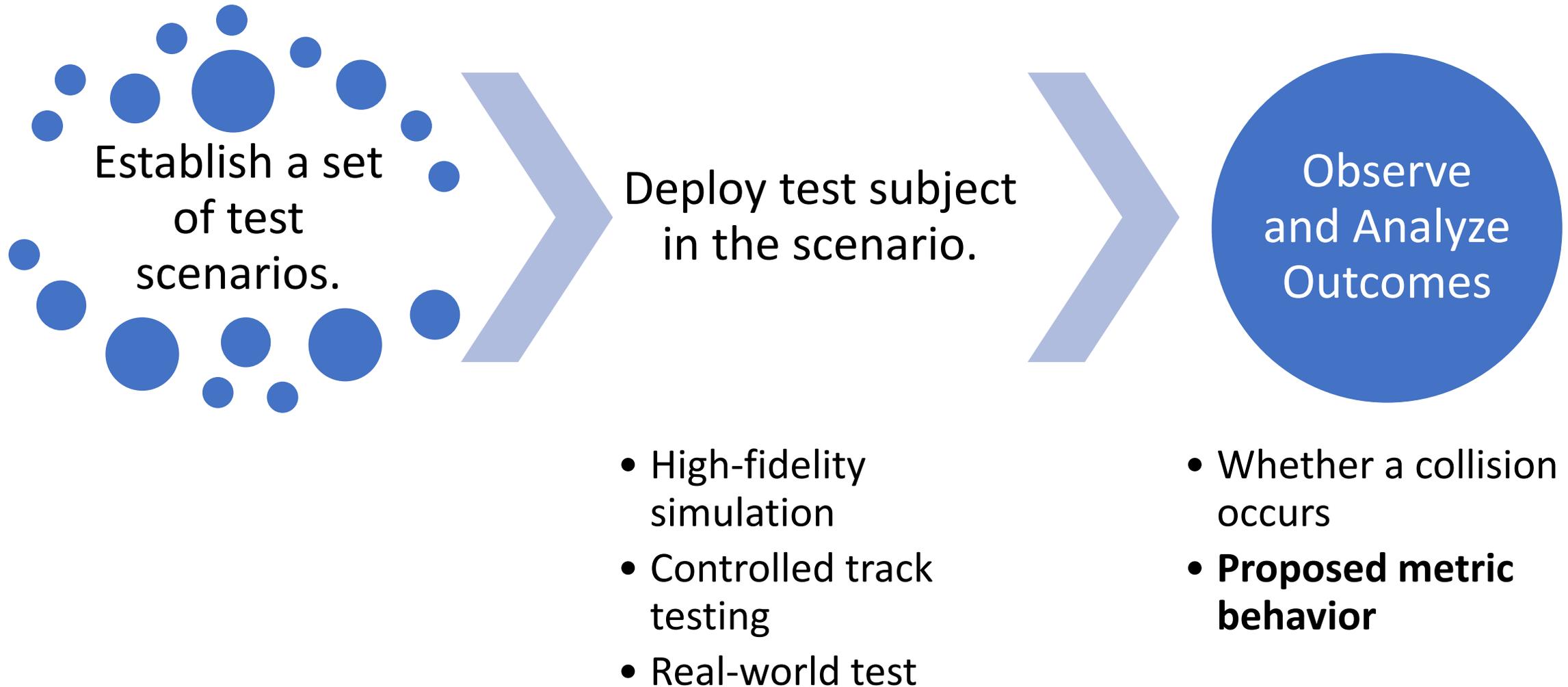
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

## Modeled Exploration of Proposed Safety Assessment Metrics for ADS

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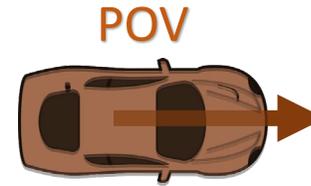
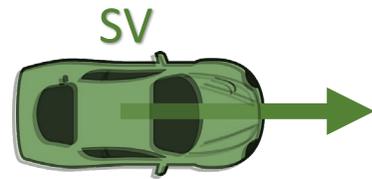
# A Typical Automated Driving System (ADS) Safety Assessment Approach



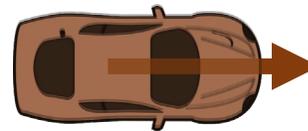
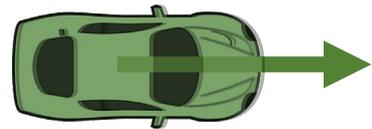
# Classic Time-to-Collision (TTC) as a metric

The Time-to-Collision metric [Lee, 1976] for longitudinal motion safety assessment has dominated the field for decades.

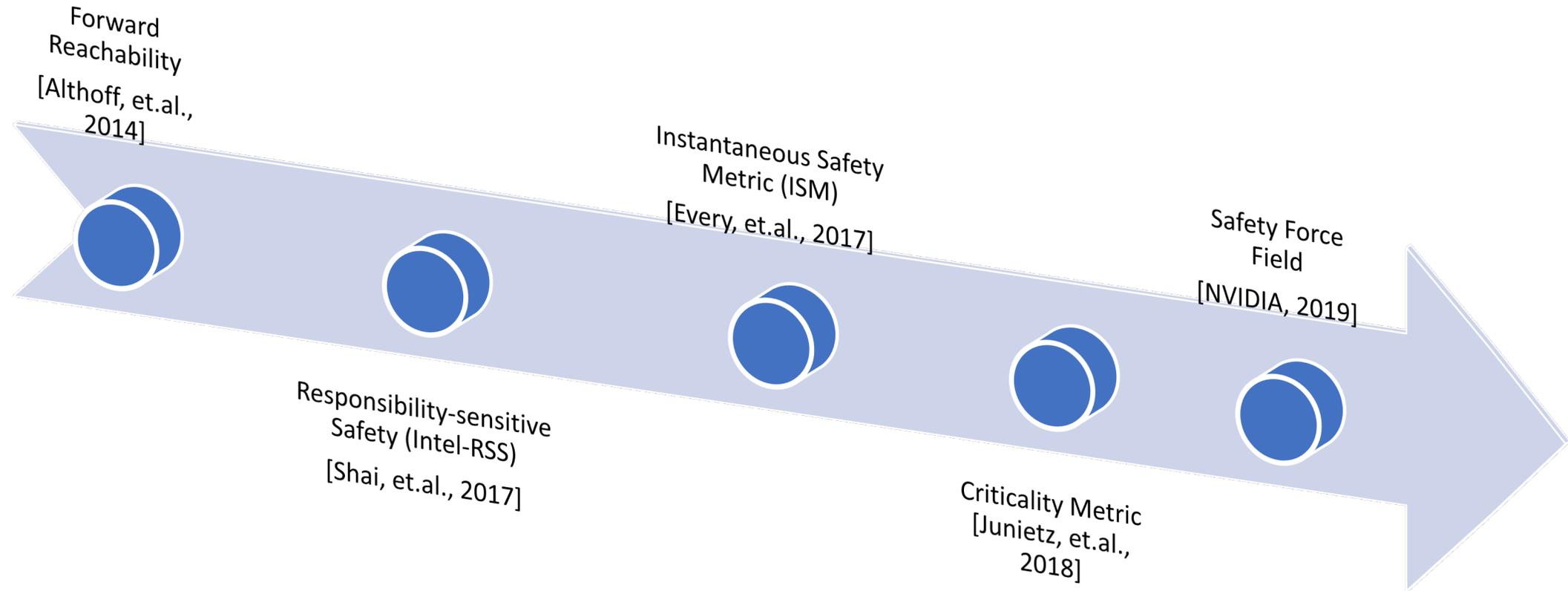
$$TTC = \frac{dx}{dv}$$



$$TTC = \infty ?$$



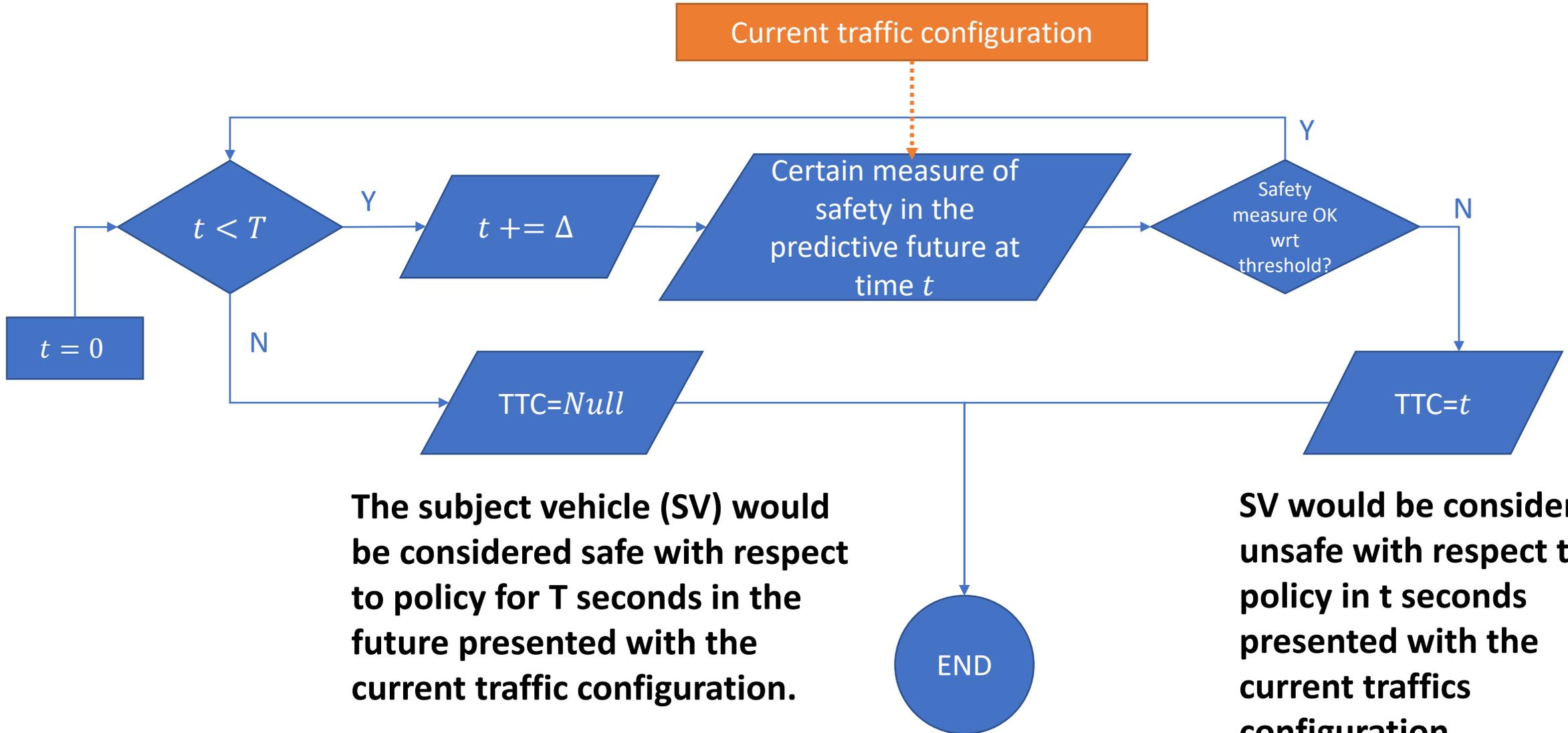
# Introduction of Safety Assessment Metric Concepts within the Non-collision Regime



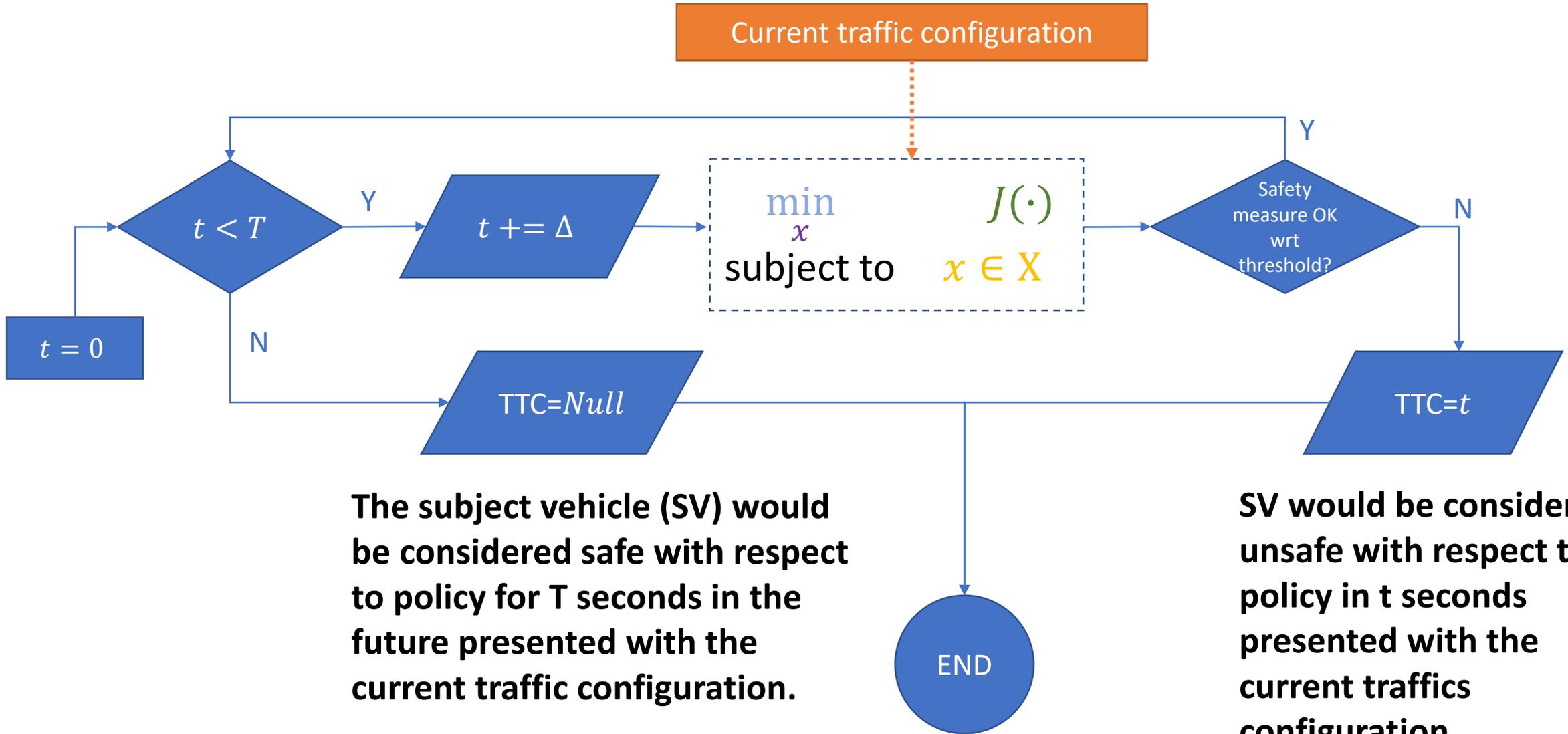
Some suggest that ADS safety assessment metrics can be used to influence ADS safe driving policy choices through casting them as certain optimization / constraint fulfillment problem

Modeled exploration of such perspective provides an opportunity to intrinsically understand the relation among various existing and proposed metrics/methods.

# A Unified Safety Measure of TTC beyond Longitudinal Dimension



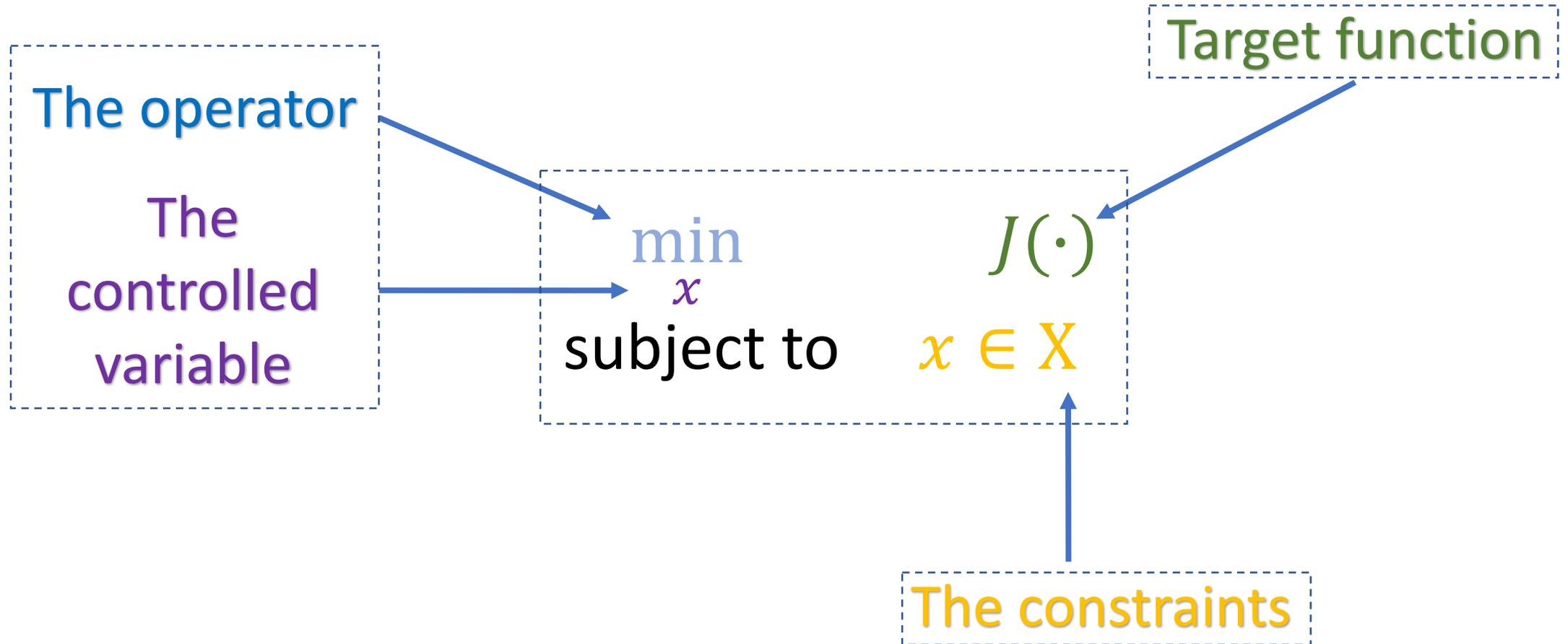
# A Unified Safety Measure of TTC beyond Longitudinal Dimension



The subject vehicle (SV) would be considered safe with respect to policy for T seconds in the future presented with the current traffic configuration.

SV would be considered unsafe with respect to policy in t seconds presented with the current traffics configuration.

# A Typical Optimization Problem



# Model the Operator

How aggressive can real-world traffic be?

$$\begin{array}{ll} \min_x & J(\cdot) \\ \text{subject to} & x \in X \end{array}$$

Everyone maintains the current states.

$$J(\cdot)$$

Cooperative collision avoidance.

$$\max_{u_0, u_1} J(\cdot)$$

Cooperative collision.

$$\min_{u_0, u_1} J(\cdot)$$

Traffic objects maintain the current states.

Test subject seeks for collision avoidance.

$$\max_{u_0} J(\cdot)$$

Test subject seeks for collisions.

$$\min_{u_0} J(\cdot)$$

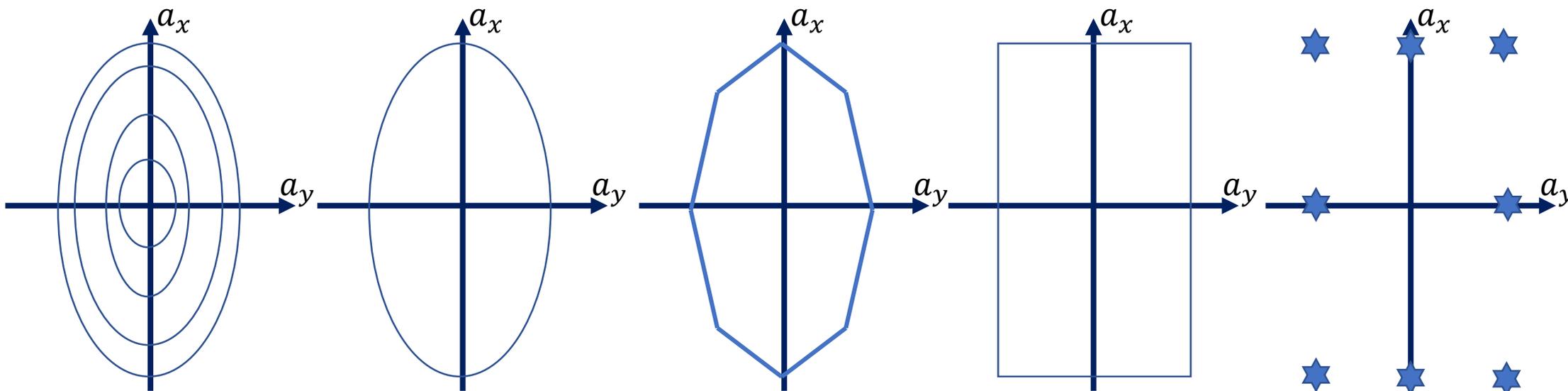
The traffic object creates the worst-case scenario and the test subject seeks for collision avoidance.

$$\min_{u_1} \max_{u_0} J(\cdot)$$

# Model the Constraints

What can a vehicle do?

$$\begin{array}{ll} \min_x & J(\cdot) \\ \text{subject to} & x \in X \end{array}$$

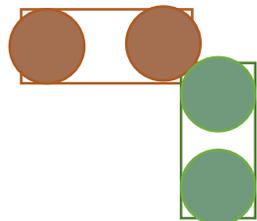
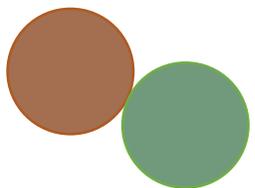
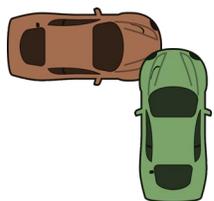


# Model the Target Function

How to model measure of safety?

$$\begin{array}{ll} \min_x & J(\cdot) \\ \text{subject to} & x \in X \end{array}$$

**Collision**  $J(\cdot) = \inf_{i=1,\dots,k} d(x_i, x_0)$

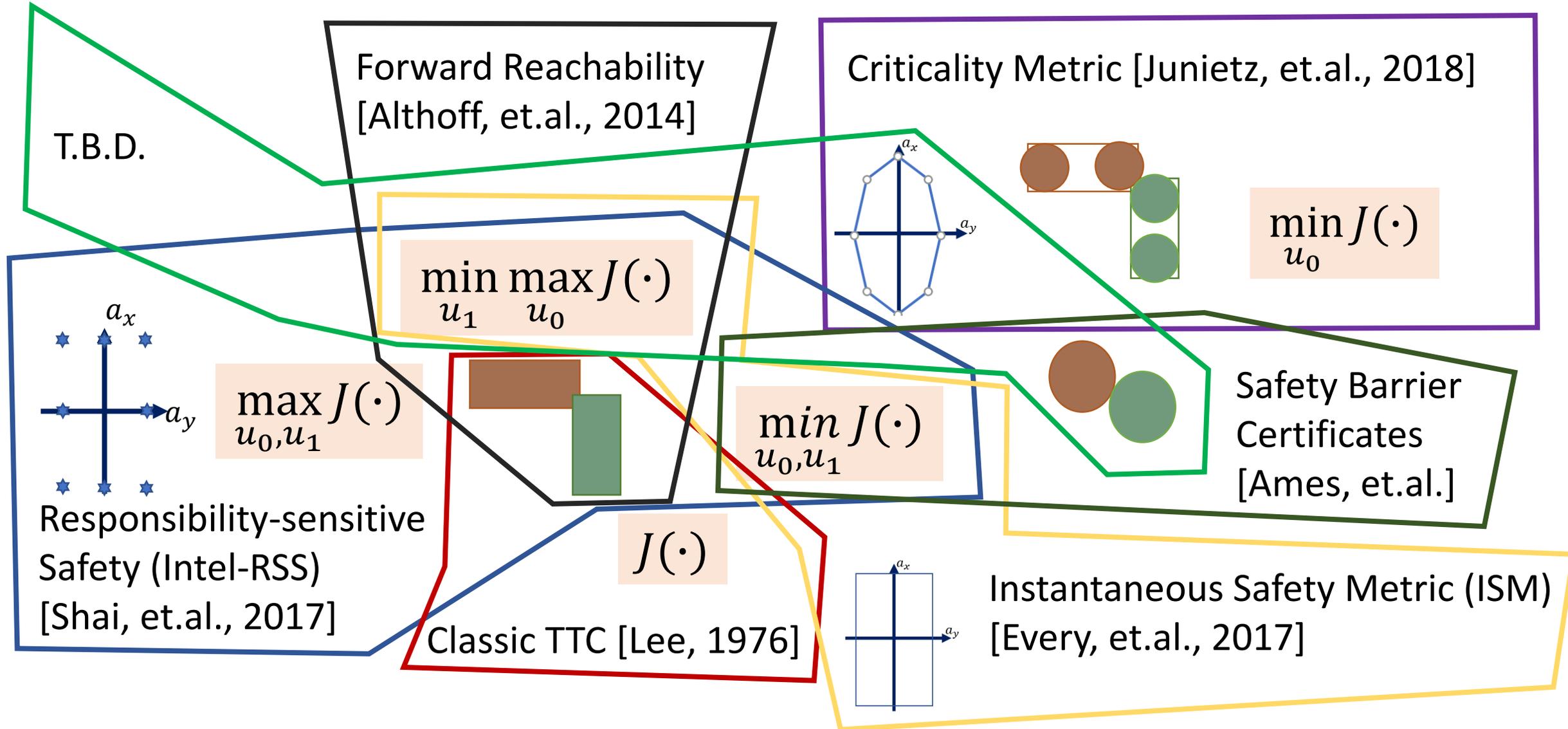


**Artificial Target**  $J(\cdot) = \inf_{i=1,\dots,k} \{w^T R_i\}$

A weighted summation of various safety-related terms

$w_1 \times$  longitudinal margin +  $w_2 \times$  lateral margin +  $w_3 \times$  longitudinal acceleration +  $w_4 \times$  lateral acceleration [Junietz, et.al., 2018]

# Proposed Metrics in Context



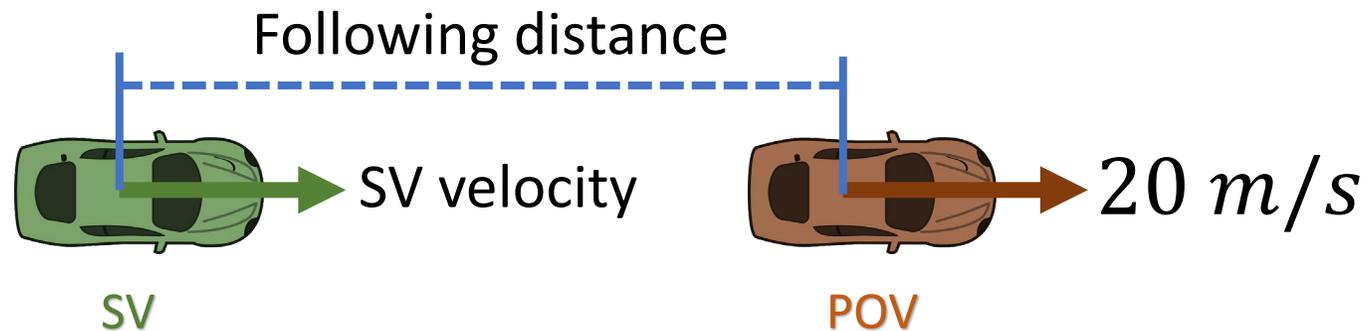
Coupling various designs of components in an optimization and /or constraint fulfillment formulation, one can derive infinitely many ADS safe operation policy alternatives.

Optimization problems are generally non-convex.

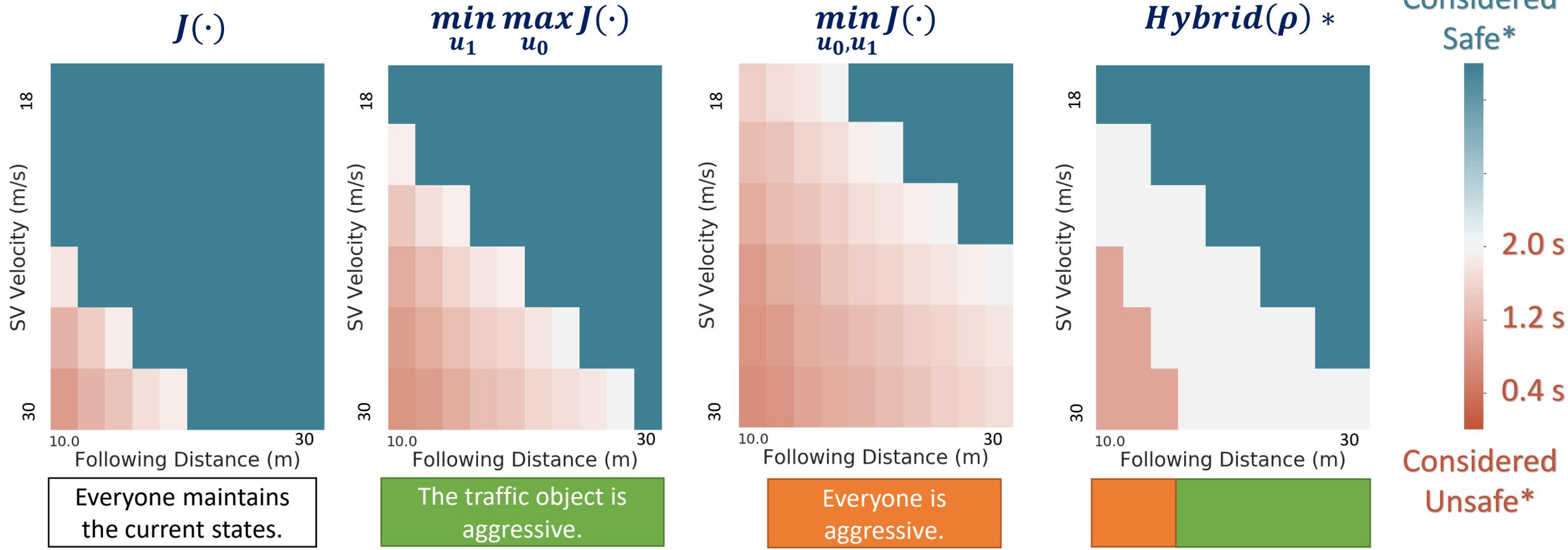
Various simplifications, assumptions are then proposed either explicitly or implicitly to make a trackable solution in practice.

# Example: Lead Vehicle Following

The *Lead-vehicle Following* Scenario



# Example: Lead Vehicle Following

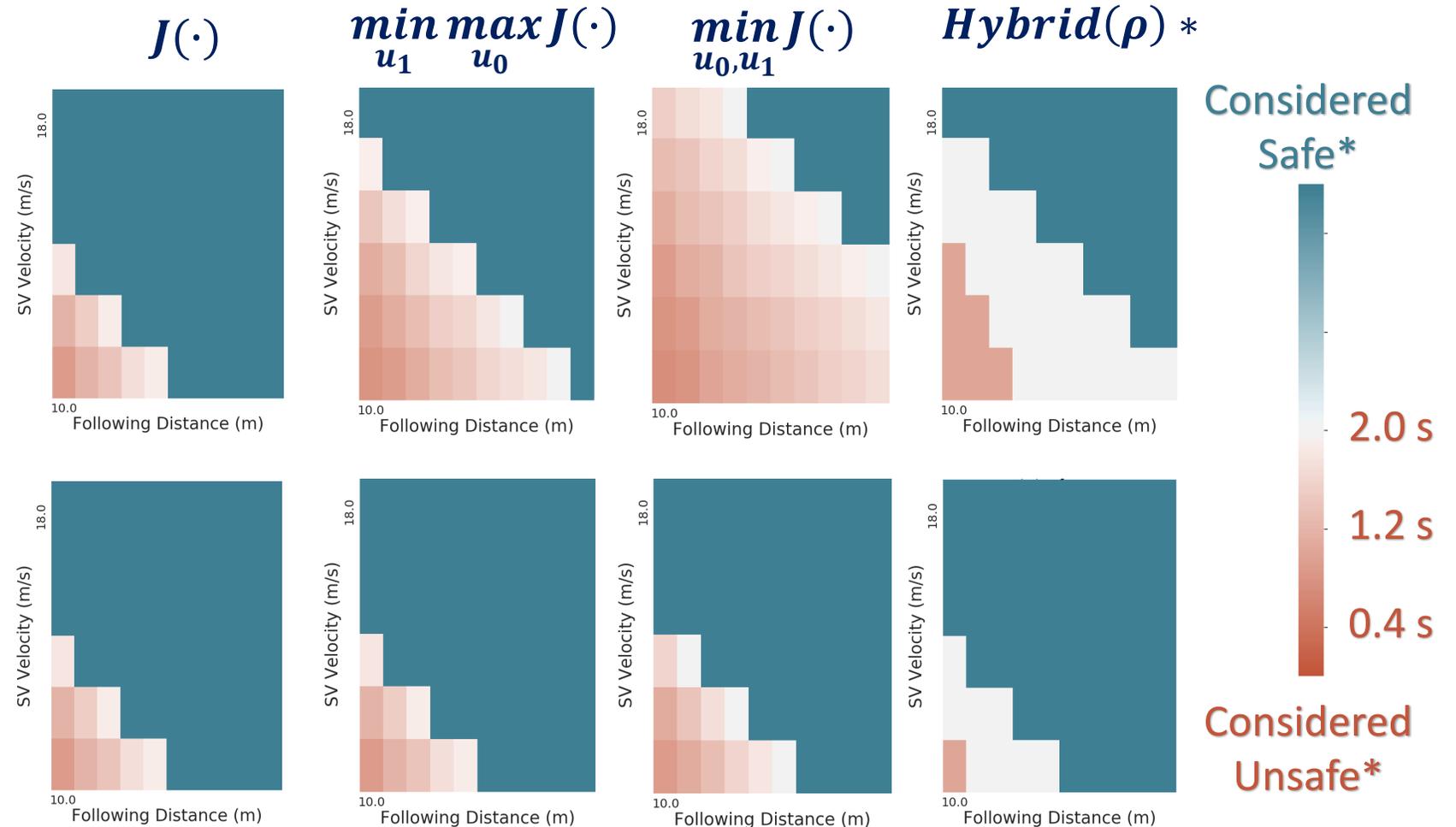


$$* Hybrid(\rho) = \begin{cases} \min_{u_0, u_1} J(\cdot, t), J(0) = J_0, & t < \rho \\ \min_{u_1} \max_{u_0} J(\cdot, t - \rho), J(0) = \min_{u_0, u_1} J(\cdot, \rho), & t \geq \rho \end{cases}$$

\* With respect to cost function, safe driving policy threshold, established constraints, and optimization method

# Example: Lead Vehicle Following

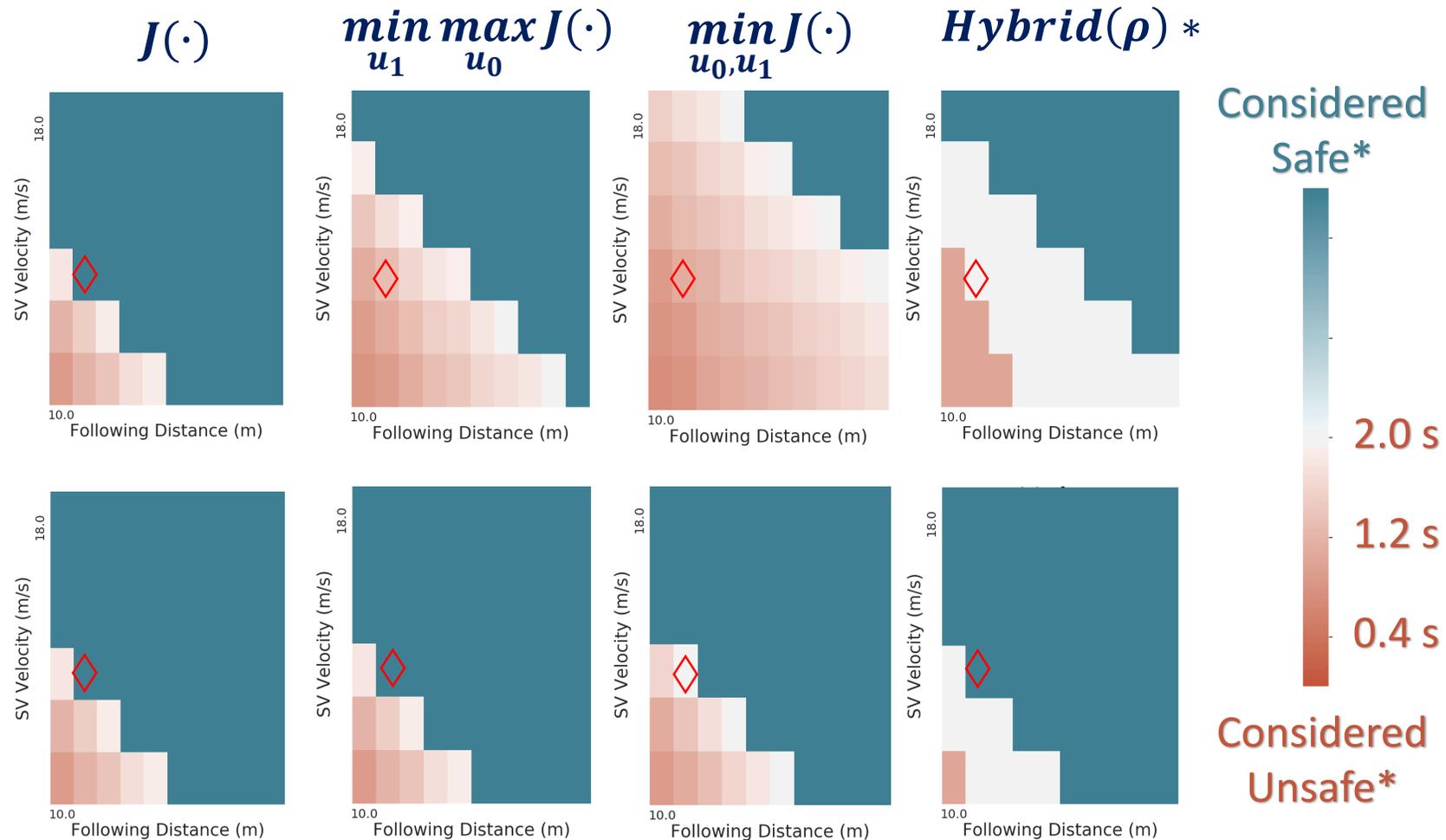
- EV control profile:** The acceleration capability is a function of velocity determined by a combined analysis of real electrical vehicle tests and simulations.
- Naive control profile:** The acceleration capabilities are constant for all speeds.



\* With respect to cost function, safe driving policy threshold, established constraints, and optimization method

# Example: Lead Vehicle Following

Presented with the **same traffic scene**, one can arrive at **completely different safety assessment results** with **different assumptions** of traffic patterns and vehicle control capabilities.



\* With respect to cost function, safe driving policy threshold, established constraints, and optimization method

# Preliminary Observations

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Establishing a clear, single “ADS safety assessment metric” is not trivial

More considerations are needed to establish meaningful, public acceptable, practical constraints, and cost functions as well as consistent assumptions/simplifications.

A simultaneous solution of multiple driving policies with respect to various metrics could also be considered.

This would need cooperation among multiple engineering and non-engineering disciplines.

# Thanks



# QUESTIONS